OAuth-based authentication for IPP Print Systems

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Objective

This document proposes a standard OAuth-based authentication procedure for print systems based on the IPP protocol. The approach proposed here was inspired by the OAuth authentication procedure, described in <u>PWG 5199.10-2019 (see 4.6)</u>, and is compatible with the OAuth 2.0 standard. The original procedure proposed in the aforementioned document was modified to address security issues. The goal is to create a well-defined, secure and easy-to-implement authentication interface between print management systems and their clients.

Introduction

Base definitions



The diagram below presents a simplified model of the described system:

<u>IPP Client</u> - a piece of software running on a device controlled by the user. An <u>IPP Client</u> tries to establish communication with a printer (<u>IPP Endpoint</u>) through the IPP protocol to submit a print job. <u>IPP Client</u> may be a part of the operating system, a standalone application, or a library that can communicate directly with a given <u>IPP Endpoint</u>. An <u>IPP client</u> is considered to be a public OAuth Client, while <u>IPP Endpoint</u> is considered a Resource Server (see <u>RFC6749-1.1</u> for a description of general OAuth roles and <u>RFC6749-2.1</u> for a definition of public OAuth client).

<u>IPP Endpoint</u> - a network location with which an <u>IPP Client</u> can establish the IPP communication and send a print job to it; it may be a printer or an endpoint provided by a print server or other IPP-based print system. In particular, it may be an Infrastructure Printer as defined in <u>PWG</u> <u>5100.18-2015</u>.

<u>Authorization Server</u> - a server responsible for coordinating the OAuth authorization process. It controls access to an <u>IPP Endpoint</u>.

<u>Authorization Zone</u> - a set of all <u>IPP Endpoints</u> controlled by the same <u>Authorization Server</u>. **AuthorizationServerURI** (an URL of the <u>Authorization Server</u>) can be considered as a unique identifier of the <u>Authorization Zone</u>.

The scope of this document

This document describes an OAuth-based authentication framework for print management systems. The goal is to standardize the way of controlling access to IPP Endpoint by IPP <u>Clients</u>. The proposed solution does not impose any restrictions on the architecture of the <u>Authorization Zone</u>. Print management system may be a single <u>Authorization Zone</u>, or it may consist of many <u>Authorization Zones</u>. The document also does not specify how IPP Clients acquire information about available IPP Endpoints. We assume here that **IPPEndpointURLs** of available IPP Endpoints may be obtained by an IPP Client in any of the following ways - they may be:

- queried from a print server specified by the user or provided by the administrator
- discovered on the local network with mDNS protocol
- specified directly by the user or provided by the administrator.

The security of the communication between <u>IPP Clients</u> and <u>Authorization Servers</u> or <u>IPP</u> <u>Endpoints</u> is based on TLS. In all cases described in this document, an <u>IPP Client</u> uses https protocol to communicate with the other actors. <u>IPP Clients</u> must always verify the SSL certificate of an <u>Authorization Server</u> and <u>IPP Endpoints</u> before sending any requests to them.

Variables used in the document

IPPEndpointURI - URL of IPP Endpoint.

IPPEndpointSSLFingerprint - a fingerprint of the <u>IPP Endpoint</u> SSL certificate.

AuthorizationServerURI - URL of the Authorization Server.

RegistrationEndpointURI, AuthorizationEndpointURI, TokenEndpointURI, RevocationEndpointURI

- URL provided by the <u>Authorization Server</u> and used as target locations of the corresponding HTTP requests, defined later in this document (<u>HTTP exchanges</u>). They all must use the same SSL certificate as the <u>Authorization Server</u>.

AccessToken - a sequence of bytes issued for an <u>IPP Client</u> after successful completion of the OAuth authorization process. It is a secret of a single OAuth session.

RefreshToken - a sequence of bytes issued for the <u>IPP Client</u> after successful completion of the OAuth authorization process (optional). It may be used for obtaining a new **AccessToken** in the same OAuth session.

EndpointAccessTokens - a sequence of bytes issued for an <u>IPP Client</u> to communicate with a particular <u>IPP Endpoint</u>. The <u>IPP Client</u> must have a valid *AccessToken* to obtain this token.

ClientID - a unique name assigned to the IPP Client by the Authorization Server.

Scope - see <u>RFC6749-3.3</u>.

Rationale

Existing OAuth authentication protocol for IPP

The OAuth authentication for the IPP system was proposed in section 4.6 of the following document: <u>PWG 5199.10-2019</u>. This section describes the general communication schema between an <u>IPP Client</u> and an <u>IPP Endpoint</u> (a printer). The standard use case can be summarized by the following steps:

- 1. <u>IPP Client</u> wants to print to a given <u>IPP Endpoint</u> that requires the OAuth authorization
- 2. IPP Client queries the AuthorizationServerURI from the IPP Endpoint
- 3. <u>IPP Client</u> registers itself to the <u>Authorization Server</u>, completes the OAuth authorization process, and obtains **AccessToken**
- 4. IPP Client sends a print job to the IPP Endpoint with the obtained AccessToken
- 5. <u>IPP Endpoint</u> verifies the *AccessToken* and prints the document

We will refer to this procedure as "the original protocol".

Security drawbacks of the original protocol

The aforementioned PWG document does not impose any restrictions on system configuration or the way in which the <u>IPP Client</u> obtains information about available printers (<u>IPP Endpoints</u>). However, these two aspects have a significant impact on the system security. Since there are no restrictions imposed on **IPPEndpointURI**s, one can try to introduce a fake <u>IPP Endpoint</u> to the system to intercept the **AccessToken** or contents of printed documents. A fake <u>IPP Endpoint</u> can also redirect an <u>IPP Client</u> to a fake <u>Authorization Server</u>, which can be used to impersonate the existing <u>Authorization Zone</u>.

To mitigate the risk of disclosing an *AccessToken* or a print job to an unauthorized node, an <u>IPP</u> <u>Client</u> must verify that the target <u>IPP Endpoint</u> is a part of the <u>Authorization Zone</u> and use different dedicated access tokens for every <u>IPP Endpoint</u>. To enable this kind of verification we propose here to extend the original protocol by Token Exchange request defined in <u>RFC8693</u>. This request must be used by the <u>IPP Client</u> to obtain dedicated *EndpointAccessTokens* for each accessed <u>IPP Endpoint</u>. It allows to implement the following security mechanisms:

- <u>Authorization Server</u> may issue different **EndpointAccessToken**s for different **IPPEndpointURI**s. It would prevent reusing the token by other entities.
- During the Token Exchange, the <u>Authorization Server</u> may check if the given *IPPEndpointURI* belongs to the <u>Authorization Zone</u>.

The <u>Authorization Server</u> must use one of these approaches or combination of both to make sure that the <u>Authorization Zone</u> cannot be compromised by token leaking.

<u>IPP Endpoints</u> may have different trust levels. The trust level depends on the type and origin of **IPPEndpointURI**. <u>IPP Endpoints</u> detected automatically with mDNS/zeroconf protocol impose additional risk over these provided by print servers or preconfigured by the system administrator.

Example: Bob is a bored programmer. One day, he noticed a new printer in his office. The printer announces itself through mDNS as "SuperPrinter 999x". Bob quickly implements a fake IPP printer on his workstation that announces itself through mDNS as "SuperPrinter 999x" (with a space as a first character). Confused co-workers submit their print jobs to Bob's workstation. Bob's fake IPP printer dumps all incoming printing jobs to a hard drive and resends them to the real printer "SuperPrinter 999x". This new printer works perfectly and no one noticed any changes. Bob entertains himself at work by reading documents printed by his boss.

Another precaution must be taken to prevent redirection of the <u>IPP Client</u> to a fake <u>Authorization</u> <u>Server</u>. The <u>IPP Client</u> cannot trust every **AuthorizationServerURI** queried from an unauthorized <u>IPP Endpoint</u>. This issue may be solved by restricting the allowed **AuthorizationServerURI** to a predefined trusted list stored in the <u>IPP Client</u>. New **AuthorizationServerURI**s may be added to this list by a user's request, e.g., each time an <u>IPP Client</u> obtains from an <u>IPP Endpoint</u> an unknown **AuthorizationServerURI**, the user is asked if a given <u>Authorization Server</u> can be trusted. This way the trusted list can be extended with new **AuthorizationServerURI**s under the user's control.

Security of connections opened by IPP Client

According to OAuth 2 specification, all communication between the <u>IPP Client</u> and the <u>Authorization Server</u> must be secured by TLS (i.e., over https). The security of the connection between an <u>IPP Client</u> and an <u>Authorization Server</u> is straightforward: the <u>IPP Client</u> simply verifies a certificate of the <u>Authorization Server</u>. These following two requirements must be met in all cases:

- AuthorizationServerURI is a global and static address (e.g., FQDN)
- <u>Authorization Server</u> has a valid SSL certificate

"Global" here does not mean that it must be a public address. It may be a server that is accessible only from an internal network (intranet). As a "global" address we can assume here an address that is resolvable from all locations where at least one <u>IPP Endpoint</u> from the <u>Authorization Zone</u> is resolvable.

Problems arise when we try to describe properties of an <u>IPP Endpoint</u>. To get some insight let's consider two extreme cases of an <u>IPP Endpoint</u> setup:

- Cloud printing service. The service provides infrastructure printers as <u>IPP Endpoints</u> and works as a proxy between real Printers and User Devices. In this scenario, an <u>IPP Client</u> connects to the <u>IPP Endpoint</u> in the same way as to the <u>Authorization Server</u>. An <u>IPP</u> <u>Endpoint</u> has a unique and static URL with the same visibility as **AuthorizationServerURI**.
- A printer visible only in a local network (e.g. mDNS/zeroconf printer). In this case, the <u>IPP</u> <u>Endpoint</u> has a local address that is resolvable only in a particular location (e.g., only from a particular wifi network). As a result, its *IPPEndpointURI* may be non-unique in the <u>Authorization Zone</u>, and the <u>Authorization Server</u> may not be able to open connections to the <u>IPP Endpoint</u>.

In the second case, we need additional information to verify the identity of the IPP Endpoint.

Proposed modification of the original protocol

The goal of the proposed modification is to solve the security issues signaled in the previous sections and, at the same time, allow support for various types of <u>IPP Endpoint</u>s. The final version of the use case from the original protocol may look as follows:

- 1. <u>IPP Client</u> wants to print to a given <u>IPP Endpoint</u> that requires OAuth authorization
- 2. IPP Client queries AuthorizationServerURI from the IPP Endpoint

Here, the <u>IPP Client</u> also validates the certificate of the <u>IPP Endpoint</u>. The <u>IPP Client</u> must not proceed if the certificate cannot be validated.

3. <u>IPP Client</u> registers itself to the <u>Authorization Server</u> (if not registered), completes through the authorization process and obtains **AccessToken**

The <u>IPP Client</u> must have the <u>Authorization Server</u> on its trusted list, and it must verify the server's certificate.

- 4. <u>IPP Client</u> sends to the <u>Authorization Server</u> the Token Exchange request to obtain *EndpointAccessToken* for given *IPPEndpointURI*. *IPPEndpointSSLFingerprint* is added to the *IPPEndpointURI* as the last query parameter. The <u>Authorization Server</u> may use this additional information to verify the identity of printers with non-unique addresses.
- 5. <u>IPP Client</u> sends a print job to the <u>IPP Endpoint</u> with the obtained **EndpointAccessToken**
- 6. <u>IPP Endpoint</u> verifies the *EndpointAccessToken* and prints the document

The communication between an <u>IPP Endpoint</u> and its <u>Authorization Server</u> is not within the scope of this document. However, we can assume that any <u>IPP Endpoint</u> can open a secure connection to the <u>Authorization Server</u> in the same way as an <u>IPP Client</u>.

Proposed protocol

General communication scheme

The diagram below presents the main actors and communication between them. Detailed description of requests labeled with capital letters are included in the section <u>HTTP exchanges</u>. Labels next to arrows pointing back to the <u>IPP Client</u> refer to values passed in successful responses.

G

User Device		+ 	Authorization Zone 	
+ IPP Client 	+ < 	 (A) Metadata Request RegistrationEndpointURI AuthorizationEndpointURI TokenEndpointURI RevocationEndpointURI	++ > Authorization Server Metadata ++ 	
	 < 	 (B) Registration Request 	++ > Registration Endpoint 	
++ Redirect Endpoint <- 	++ > Internet Browser < 	 (C) Authorization Request AuthorizationCode 	++ > Authorization Endpoint 	
	 	 (D) First Token Request (E) Next Token Request AccessToken RefreshToken (F) Token Exchange Req.		
	 < 	EndpointAccessToken (G) IPP Request with Access Token IPP Response	 ++ ++ > IPP Endpoint 	
	 	 (H) Revocation Request 	++ > Revocation Endpoint ++	

Expected behavior of IPP Client

The security of the whole ecosystem is anchored in <u>Authorization Servers</u>. All **AuthorizationServerURIs** must come from trusted sources and the whole communication with them must require TLS. Each <u>Authorization Server</u> represents a single <u>Authorization Zone</u>. An <u>IPP Client</u> must keep track of a set of <u>Authorization Servers</u> it is registered to.

The <u>IPP Client</u> may assume that a new <u>IPP Endpoint</u> does not need OAuth authorization (see <u>IPP Request Without Access Token</u>) when communicating for the first time. However, in case of an error response indicating the necessity of OAuth authorization, the <u>IPP Client</u> should query the <u>IPP Endpoint</u> with Get-Printer-Attributes request to obtain *AuthorizationServerURI* stored in the attribute "oauth-authorization-server-uri" and *Scope* stored in the attribute "oauth-authorization-server-uri" and *Scope* stored in the attribute

If an <u>IPP Client</u> is not registered to the <u>Authorization Zone</u> represented by a given **AuthorizationServerURI**, it must go through the Registration Procedure, described below, and store all obtained metadata that are needed for authorization. <u>IPP Client</u> can register only to <u>Authorization Zones</u> represented by **AuthorizationServerURI** included in its trusted list. If a given **AuthorizationServerURI** is not on the trusted list, the user can be asked to add it to the list.

IPP Client holds two types of OAuth sessions:

- 1. Sessions created with <u>(C) Authorization Request</u> and <u>(D) First Token Request</u> are used for communication with the <u>Authorization Servers</u>. They are based on **AccessToken**.
- Sessions created with (F) Token Exchange Request. In this request the AccessToken is exchanged for EndpointAccessToken that is used in direct communication with an IPP Endpoint. These sessions are created separately for each IPP Endpoint.

All information about current OAuth sessions opened by an <u>IPP Client</u> must be held in volatile memory and cannot be saved to any permanent storage (to protect **AccessToken** and **RefreshToken**, see <u>RFC6749-2.1</u> for security of OAuth public client). During runtime, <u>IPP Client</u> must keep track of all currently open OAuth sessions. OAuth sessions of the first type are unambiguously identified by **AuthorizationServerURI** while the sessions of the second type are identified by an unique pair (**AuthorizationServerURI**, **IPPEndpointURI**). Sessions contain the following information:

- AccessToken or EndpointAccessToken
- Expiration timestamp for AccessToken/EndpointAccessToken
- **RefreshToken** (if available)
- Scope (the value used in the (C) Authorization Request (RFC6749-4.1))

When an <u>IPP Client</u> tries to send a request to a printer assigned to some **AuthorizationServerURI**, it must first check the list of current OAuth sessions and reuse an existing OAuth session when possible. If there is no session for a given pair

(AuthorizationServerURI, IPPEndpointURI) or reusing it is not possible, the IPP Client must search for a session indexed by AuthorizationServerURI and use (F) Token Exchange Request to create a missing session. If there is no OAuth session for the AuthorizationServerURI or reusing of the existing session is not possible, the IPP Client must perform the Authorization Procedure described below to open a new OAuth session.

Registration Procedure

An <u>IPP Client</u> executes this procedure to register itself in the new <u>Authorization Zone</u> with a given **AuthorizationServerURI** and to acquire values of required variables: **AuthorizationEndpointURI**, **TokenEndpointURI**, **RevocationEndpointURI** (optional), and **ClientID**. The procedure consists of two steps:

- 1. (A) Metadata Request (RFC8414)
- 2. (B) Registration Request (RFC7591)

Both of these steps are described in the <u>HTTP exchanges</u> section.

Authorization Procedure

The goal of this procedure is to obtain a valid **AccessToken** for the <u>Authorization Zone</u> with a given **AuthorizationServerURI**. An <u>IPP Client</u> must be already registered with the <u>Authorization</u> <u>Zone</u> and know the values of the following variables associated with **AuthorizationServerURI**: **AuthorizationEndpointURI**, **TokenEndpointURI**, and **ClientID** (they are obtained in the Registration Procedure described above).

If an IPP Client does not have a corresponding **RefreshToken**, it must execute these two steps:

- 1. (C) Authorization Request (RFC6749-4.1)
- 2. (D) First Token Request

If the <u>IPP Client</u> already has a **RefreshToken** assigned to a given **AuthorizationServerURI**, it must try to use it to obtain a new value for an expired **AccessToken** instead. This step is described in the following subsection:

• (E) Next Token Request

For communication with an <u>IPP Endpoint</u>, the **EndpointAccessToken** must be obtained with the use of the request from:

• (F) Token Exchange Request (RFC8693).

All these steps mentioned above are described in the <u>HTTP exchanges</u> section.

Revocation Procedure

When a user session is being closed, all stored **AccessTokens**, **EndpointAccessTokens** and **RefreshTokens** must be automatically deleted on the <u>IPP Client</u> side (they are supposed to be in volatile memory anyway). The session data on the server side can be deleted by sending a revocation request (see <u>(H) Revocation Request (RFC7009)</u>). If the <u>AuthorizationServer</u> supports a revocation request, the <u>IPP Client</u> should use it when the OAuth session with the <u>Authorization</u>

Zone is not needed any more. It happens when any of the following occurs:

- The IPP Client is shutting down
- AccessToken expires and there is no RefreshToken
- User logged out/closed the session with <u>Authorization Zone</u> (if <u>IPP Client</u> implements this feature)
- The IPP Client may choose to close the session due to inactivity

(<u>H) Revocation Request (RFC7009</u>) may be called for **RefreshTokens** or **AccessTokens** (only if the corresponding **RefreshToken** does not exist). It must automatically invalidate all related **EndpointAccessTokens**.

Expected behavior of IPP Endpoint

If an <u>IPP Endpoint</u> requires the OAuth authorization, it must have the IPP attribute "oauth-authorization-server-uri" in the Printer Description set to **AuthorizationServerURI**. <u>IPP</u> <u>Endpoints</u> without this attribute do not require OAuth 2.0 authentication, and they do not belong to any <u>Authorization Zone</u>. Every <u>IPP Endpoint</u> can belong to at most one <u>Authorization Zone</u>, so the attribute "oauth-authorization-server-uri" can have only a single value. If the attribute "oauth-authorization-scope" is present its value should be used as **Scope**. All <u>IPP Endpoints</u> belonging to the same <u>Authorization Zone</u> should have the same value of the attribute "oauth-authorization-server-uri".

The other IPP attributes that must be set appropriately are printer-uri-supported (RFC8011-5.4.1), uri-authentication-supported (RFC8011-5.4.2) and uri-security-supported (RFC8011-5.4.3). The first attribute must contain **IPPEndpointURI**, the second one the value "oauth" and the third one the value "tls". If supported by the IPP Endpoint, the IPP Client should use the printer-xri-supported (RFC3380-6.6) attribute instead of these three attributes.

The <u>IPP Endpoint</u> must not require authorization for the IPP request Get-Printer-Attributes. In the case of a missing *EndpointAccessToken* in the HTTP header in an incoming IPP request, the <u>IPP Endpoint</u> must behave as defined in the subsection <u>IPP Request Without Access Token</u>. When the *EndpointAccessToken* is present in the request, the <u>IPP Endpoint</u> must follow instructions from <u>(G) IPP Request With Access Token</u>.

HTTP exchanges

These rules must be followed by all HTTP exchanges defined in this section:

- All HTTP requests described in this section must use the https protocol. The only exception is **RedirectURL** that does not require encryption and the HTTP protocol.
- All communication is initiated by an IPP Client.
- All URL with parameters must be built according to rules described in <u>RFC6749-B</u> and conform to "application/x-www-form-urlencoded" format described in

<u>https://www.w3.org/TR/html401/interact/forms.html#h-17.13.4</u>. The same holds for all payloads with content of type "application/x-www-form-urlencoded".

• Values described as ASCII strings must be within the following set: 0x20, 0x21, 0x23-0x5B, 0x5D-0x7E (all printable characters without '\` and '\').

The following table summarizes all OAuth-related exchanges defined in this section:

	HTTP method	Content in request	Content in successful response	Content in error response
<u>IPP Request Without</u> <u>Access Token</u> (G) IPP Request With Access Token (PEC6750)	POST	IPP	IPP	Header: param "WWW-Authenti cate: Bearer" with parameters
Access Token (KFC0750)				
<u>(A) Metadata Request</u> (RFC8414)	GET	none	Payload: JSON	Payload: JSON
(B) Registration Request (RFC7591)	POST	Payload: JSON	Payload: JSON	Payload: JSON
(C) Authorization Request (RFC6749-4.1)	GET	URL parameters	URL parameters in RedirectURL	URL parameters in RedirectURL
(D) First Token Request (RFC6749-5)	POST	Payload: x-www-form-ur lencoded	Payload: JSON	Payload: JSON
(E) Next Token Request (RFC6749-6)	POST	Payload: x-www-form-ur lencoded	Payload: JSON	Payload: JSON
<u>(F) Token Exchange</u> <u>Request (RFC8693)</u>	POST	Payload: x-www-form-ur lencoded	Payload: JSON	Payload: JSON
(H) Revocation Request (RFC7009)	POST	Payload: x-www-form-ur lencoded	none	Payload: JSON

IPP Request Without Access Token

HTTP request

Type: POST

Header parameters:

• Content-Type: application/ipp

Payload: IPP request.

HTTP successful response

Status: 200 Ok

Header parameters:

• Content-Type: application/ipp

Payload: IPP response.

HTTP error response when Access Token is required (RFC6750-3)

Status: 401 Unauthorized

Header parameters:

- WWW-Authenticate: "Bearer" with the following parameters:
 - realm required, user friendly name of the printing system
 - scope optional, if set then the IPP Client must use it in Authorization Procedure

(A) Metadata Request (<u>RFC8414</u>)

Input: AuthorizationServerURI

Output: **AuthorizationEndpointURI**, **TokenEndpointURI**, **RegistrationEndpointURI**, **RevocationEndpointURI** (optional)

HTTP request (<u>RFC8414-3.1</u>)

Type: GET

URL: The URL is constructed from *AuthorizationServerURI* by adding the prefix "/.well-known/oauth-authorization-server" to the path component. See <u>RFC8615</u> and <u>RFC8414-3</u> for details.

Example: Let's assume that *AuthorizationServerURI*=https://my.auth.server/aaa. In this case, the metadata file is accessible as

https://my.auth.server/.well-known/oauth-authorization-server/aaa.

HTTP successful response (RFC8414-3.2)

Status: 200 OK

Header parameters:

• Content-Type: application/json

Payload: The metadata is coded in JSON format as a single object. The following fields are essential (see <u>RFC8414-2</u> for full list):

- issuer required, = AuthorizationServerURI
- authorization_endpoint required, = AuthorizationEndpointURI
- token_endpoint required, = *TokenEndpointURI*
- registration_endpoint required, = *RegistrationEndpointURI*
- scopes_supported optional, not used
- response_types_supported required, must contain "code"
- response_modes_supported optional, must contain "query" if specified
- grant_types_supported optional, must contain "authorization_code" if specified
- token_endpoint_auth_methods_supported required, must contain "none"
- revocation_endpoint optional, = *RevocationEndpointURI*, if it is set then <u>IPP Client</u> must use Revocation Procedure when the session is being closed
- revocation_endpoint_auth_methods_supported required when revocation_endpoint is present, must contain "none"
- code_challenge_methods_supported required, must contain "S256"

HTTP error response

Not specified, use standard HTTP statuses.

(B) Registration Request (RFC7591)

Input: RegistrationEndpointURI, RedirectURL

Output: ClientID

HTTP request (<u>RFC7591-3.1</u>)

Type: POST

URL: RegistrationEndpointURI without parameters

Header parameters:

• Content-Type: application/json

• Accept: application/json

Payload: A set of parameters is encoded in a single JSON object. The following parameters are essential (see <u>RFC7591-2</u> for full list):

- All parameters from *RegistrationEndpointURI* (these ones omitted in the URL)
- redirect_uris required, = [*RedirectURL*, ...] (the first one is taken)
- token_endpoint_auth_method required, an array, must contain "none"
- grant_types optional, an array, must contain "authorization_code"
- response_types optional, an array, must contain "code"
- client_name optional, may be internationalized (<u>RFC7591-2.2</u>)
- scope do not include it
- software_id optional, the name (or ID) of the software (IPP Client)
- software_version optional, the version of the software (<u>IPP Client</u>)
- software_statement optional (<u>RFC7591-2.3</u>), JWT (<u>RFC7519</u>) signed with JWS (<u>RFC7515</u>) using a symmetric key known by the Printer Management System (but not by the IPP<u>Client</u>). The symmetric key must be dedicated to this <u>IPP Client</u>. JWT must contain at least client_name, software_id, and software_version.

HTTP successful response (RFC7591-3.2.1)

Status: 201 Created

Header parameters:

- Content-Type: application/json
- Cache-Control: no-store
- Pragma: no-cache

Payload: The single JSON object with the following parameters:

- client_id required, = *ClientID*
- client_id_issued_at optional, time at which the client identifier was issued represented as the number of seconds from 1970-01-01T00:002 in UTC
- All fields assigned to the registered client on the server side. It must include all fields specified in the request, and their values must be the same as these specified in the request.

HTTP response for OAuth 2.0 error (RFC7591-3.2.2)

Status: 400 Bad Request

Header parameters:

- Content-Type: application/json
- Cache-Control: no-store

• Pragma: no-cache

Payload: A single JSON object with the following fields:

- error required, single ASCII error code string, one of the following:
 - invalid_redirect_uri The value of one or more redirection URIs is invalid.
 - invalid_client_metadata The value of one of the client metadata fields is invalid and the server has rejected this request.
 - invalid_software_statement The software statement presented is invalid.
 - unapproved_software_statement The software statement presented is not approved for use by this authorization server.
- error_description optional, human-readable ASCII text description of the error used for debugging.

(C) Authorization Request (<u>RFC6749-4.1</u>)

Input: AuthorizationEndpointURI, ClientID, RedirectURL, Scope

Output: AuthorizationCode

This request is not sent directly from the <u>IPP Client</u>. Instead, the <u>IPP Client</u> opens an available internet browser (called in OAuth 2.0 specification as "user agent") and feeds it with the URL. The internet browser sends an HTTP GET request to a given URL and allows the user to go through the whole authorization process. If the access is granted, the flow is returned to the <u>IPP Client</u> by sending to the Internet Browser the HTTP 302 Found response that is redirected to the <u>IPP Client</u>. Possible implementations of this stage across different operating systems are described in <u>RFC8252-7</u>.

First HTTP request from the internet browser (<u>RFC6749-4.1.1</u>)

Type: GET

URL: *AuthorizationEndpointURI* extended by adding the following parameters:

- response_type required, = "code"
- response_mode optional, if specified then = "query"
- client_id required, = *ClientID*
- redirect_uri required, = RedirectURL
- scope optional, = **Scope** (scopes defined in <u>IPP Endpoint</u>, set if **Scope** is specified)
- state required, an opaque value used by the client to maintain state between the request and callback
- code_challenge required, created according to <u>RFC7636-4</u> with the algorithm "S256"
- code_challenge_method required, = "S256" (<u>RFC7636-4.3</u>)

Last HTTP response to the internet browser in case of success (RFC6749-4.1.2)

Status: 302 Found

Header parameters:

- Location: *RedirectURL* with the following parameters:
 - code required, = **AuthorizationCode**
 - state required, = the exact value received in the first HTTP request

Last HTTP response to the internet browser in case of error (RFC6749-4.1.2.1)

Status: 302 Found

Header parameters:

- Location: *RedirectURL* with the following parameters:
 - error required, a single ASCII error code from the following:
 - invalid_request the request is missing a required parameter, includes an invalid parameter value, includes a parameter more than once, or is otherwise malformed.
 - unauthorized_client the client is not authorized to request an authorization code using this method.
 - access_denied the resource owner or authorization server denied the request.
 - unsupported_response_type the authorization server does not support obtaining an authorization code using this method.
 - invalid_scope the requested scope is invalid, unknown, or malformed.
 - server_error the authorization server encountered an unexpected condition that prevented it from fulfilling the request. This error code is needed because a 500 Internal Server Error HTTP status code cannot be returned to the client via an HTTP redirect.
 - temporarily_unavailable the authorization server is currently unable to handle the request due to a temporary overloading or maintenance of the server. This error code is needed because a 503 Service Unavailable HTTP status code cannot be returned to the client via an HTTP redirect.
 - error_description optional, human-readable ASCII text providing additional information, used to assist the client developer in understanding the error that occurred.
 - error_uri optional, a URI identifying a human-readable web page with information about the error, used to provide the client developer with additional information about the error. It must be an ASCII string without a 0x20 character.
 - state required, = the exact value received in the first HTTP request.

(D) First Token Request (<u>RFC6749-5</u>)

Input: TokenEndpointURI, ClientID, RedirectURL, AuthorizationCode

Output: AccessToken, RefreshToken

HTTP request (<u>RFC6749-4.1.3</u>)

Type: POST

URL: TokenEndpointURI without parameters

Header parameters:

• Content-Type: application/x-www-form-urlencoded

Payload: A set of parameters saved as "application/x-www-form-urlencoded" and encoded in "UTF-8". It must contain the following parameters:

- All parameters from TokenEndpointURI
- grant_type required, = "authorization_code"
- code required, = **AuthorizationCode**
- redirect_uri required, = *RedirectURL*
- client_id required, = *ClientID*
- code_verifier required, =code verifier, see <u>RFC7636-4.5</u>

HTTP successful response (RFC6749-4.1.4)

Status: 200 Ok

Header parameters:

- Content-Type: application/json;charset=UTF-8
- Cache-Control: no-store
- Pragma: no-cache

Payload: The content is a JSON with the following values (<u>RFC6749-5.1</u>):

- access_token required, = AccessToken
- token_type required, = "bearer"
- expires_in optional, the lifetime in seconds of the access token.
- refresh_token optional, = *RefreshToken*
- scope optional, not used

HTTP response for OAuth 2.0 error (RFC6749-5.2)

Status: 400 Bad Request

Header parameters:

- Content-Type: application/json;charset=UTF-8
- Cache-Control: no-store
- Pragma: no-cache

Payload: A single JSON object with the following fields:

- error required, single ASCII error code string, one of the following:
 - invalid_request The request is missing a required parameter. It includes an unsupported parameter value (other than grant type), repeats a parameter, includes multiple credentials, uses more than one mechanism for authenticating the client, or is otherwise malformed.
 - invalid_client Client authentication failed (e.g., unknown client, no client authentication included, or unsupported authentication method).
 - invalid_grant The provided authorization grant (e.g., authorization code, resource owner credentials) or refresh token is invalid, expired, revoked, does not match the redirection URI used in the authorization request, or was issued to another client.
 - unauthorized_client The authenticated client is not authorized to use this authorization grant type.
 - unsupported_grant_type The authorization grant type is not supported by the authorization server.
 - invalid_scope The requested scope is invalid, unknown, malformed, or exceeds the scope granted by the resource owner.
- error_description optional, human-readable ASCII text description of the error used for debugging.
- error_uri optional, a URI identifying a human-readable web page with information about the error, used to provide the client developer with additional information about the error. It must be an ASCII string without a 0x20 character.

(E) Next Token Request (<u>RFC6749-6</u>)

Input: TokenEndpointURI, RefreshToken

Output: AccessToken, RefreshToken

HTTP request

Type: POST

URL: TokenEndpointURI without parameters

Header parameters:

• Content-Type: application/x-www-form-urlencoded

Payload: A set of parameters saved as "application/x-www-form-urlencoded" and encoded in "UTF-8". It must contain the following parameters:

- All parameters from *TokenEndpointURI*
- grant_type required, = "refresh_token"
- refresh_token required, = *RefreshToken*
- scope do not include it

HTTP response

Responses are the same as described in "(D) First Token Request". The server may issue a new *RefreshToken*, in which case the client must discard the old *RefreshToken* and replace it with a new one.

(F) Token Exchange Request (RFC8693)

Input: TokenEndpointURI, AccessToken, IPPEndpointURI

Output: EndpointAccessToken

HTTP request (RFC8693-2.1)

Type: POST

URL: TokenEndpointURI without parameters

Header parameters:

• Content-Type: application/x-www-form-urlencoded

Payload: A set of parameters saved as "application/x-www-form-urlencoded" and encoded in "UTF-8". It must contain the following parameters:

- All parameters from *TokenEndpointURI*
- grant_type required, = "urn:ietf:params:oauth:grant-type:token-exchange"
- resource required, = *IPPEndpointURI* with additional query parameter SSLFingerprint= *IPPEndpointSSLFingerprint*
- scope do not include it
- subject_token required, = AccessToken
- subject_token_type required, = "urn:ietf:params:oauth:token-type:access_token"

HTTP successful response (RFC8693-2.2)

Status: 200 Ok

Header parameters:

- Content-Type: application/json
- Cache-Control: no-store
- Pragma: no-cache

Payload: The content is a JSON with the following values (<u>RFC6749-5.1</u>):

- access_token required, = *EndpointAccessToken*
- issued_token_type required, but not used, if unsure set it to "urn:ietf:params:oauth:token-type:access_token"
- token_type required, = "bearer"
- expires_in optional, the lifetime in seconds of the access token.
- refresh_token do not use it
- scope do not use it

HTTP response for OAuth 2.0 error (RFC6749-5.2)

Status: 400 Bad Request

Header parameters:

- Content-Type: application/json
- Cache-Control: no-store
- Pragma: no-cache

Payload: A single JSON object with the following fields:

- error required, single ASCII error code string, one of the following:
 - invalid_request The request is missing a required parameter. It includes an unsupported parameter value (other than grant type), repeats a parameter, includes multiple credentials, uses more than one mechanism for authenticating the client, or is otherwise malformed.
 - invalid_grant The provided authorization grant (e.g., authorization code, resource owner credentials) or refresh token is invalid, expired, revoked, does not match the redirection URI used in the authorization request, or was issued to another client.
 - unauthorized_client The authenticated client is not authorized to use this authorization grant type.
 - unsupported_grant_type The authorization grant type is not supported by the authorization server.
 - invalid_scope The requested scope is invalid, unknown, malformed, or exceeds the scope granted by the resource owner.
 - invalid_target The URI passed in the resource parameter is unknown or incorrect.
- error_description optional, human-readable ASCII text description of the error used for

debugging.

• error_uri - optional, a URI identifying a human-readable web page with information about the error, used to provide the client developer with additional information about the error. It must be an ASCII string without a 0x20 character.

(G) IPP Request With Access Token (RFC6750)

Input: AccessToken

HTTP request (<u>RFC6750-2.1</u>)

Type: POST

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Header parameters:

- Content-Type: application/ipp
- Authorization: Bearer **AccessToken**

Payload: IPP request.

HTTP successful response

Status: 200 Ok

Header parameters:

• Content-Type: application/ipp

Payload: IPP response.

HTTP error response (<u>RFC6750-3</u>)

Status: 400 Bad Request or 401 Unauthorized or 403 Forbidden

Header parameters:

- WWW-Authenticate: Bearer with the following parameters:
 - realm required, user friendly name of the printing system
 - scope optional, a required **Scope** value
 - error optional, one of the following (<u>RFC6750-3.1</u>):
 - invalid_request The request is missing a required parameter. It includes an unsupported parameter or parameter value, repeats the same parameter, uses more than one method for including an access token, or is otherwise malformed. It may be returned only for the HTTP 400 (Bad Request) status code.
 - invalid_token The access token provided is expired, revoked, malformed, or invalid for other reasons. It may be returned only for the HTTP 401

(Unauthorized) status code. The client may request a new access token and retry the protected resource request.

- insufficient_scope The request requires higher privileges than provided by the access token. It may be returned only for the HTTP 403 (Forbidden) status code
- error_description optional
- error_uri optional

(H) Revocation Request (<u>RFC7009</u>)

Input: RevocationEndpointURI, RefreshToken or AccessToken

The exchange is considered successful when the server revoked a given token or when the token is not known by the server. It is so, because the server does not have to store or track expired tokens and revoking expired tokens cannot cause error response.

If the server responds with HTTP status code 503, the client must assume the token still exists and may retry after a reasonable delay. The server may include a "Retry-After" header in the response to indicate how long the service is expected to be unavailable to the requesting client.

HTTP request (<u>RFC7009-2.1</u>)

Type: POST

URL: RevocationEndpointURI without parameters

Header parameters:

• Content-Type: application/x-www-form-urlencoded

Payload: A set of the following parameters saved as "application/x-www-form-urlencoded":

- All parameters from *RevocationEndpointURI*
- token required, = *RefreshToken* if defined, otherwise *AccessToken*

HTTP successful response (RFC7009-2.2)

Status: 200 Ok

HTTP error response (<u>RFC7009-2.2.1</u>)

Status: 400 Bad Request

Header parameters:

- Content-Type: application/json;charset=UTF-8
- Cache-Control: no-store

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• Pragma: no-cache

Payload: A single JSON object with the following fields:

- error required, single ASCII error code string, one of the following:
 - unsupported_token_type The authorization server does not support the revocation of the presented token type. That is, the client tried to revoke an access token on a server not supporting this feature.

Others

Querying the list of available IPP Endpoint from IPP System

The standardized API for accessing IPP Systems is defined in the document <u>IPP System Service</u> <u>v1.0</u>. We are interested in the request Get-Printers described in the section 6.1.4. It allows the <u>IPP Client</u> to obtain **IPPEndpointURI**s via the attributes *printer-xri-supported*. However, the document does not mention the attribute *oauth-authorization-server-uri*. This probably means that it may be or may be not present. In any case, its value must be queried directly from the <u>IPP Endpoint</u> via the IPP request Get-Printer-Attribute.

The access to the IPP System is controlled in a similar way as an access to IPP Endpoints. The client must first send an IPP request Get-System-Attributes to obtain the attribute *oauth-authorization-server-uri* for the IPP System. After successful authorization, the client is able to query the list of available printers with the request Get-Printers. This procedure is proposed in the errata filed for the document IPP System Service v1.0 (it can be found at https://www.pwg.org/dynamo/issues.php).

In general, IPP System endpoints can be treated in analogical way as IPP Endpoints.