

1 INTERNET-DRAFT
2 <draft-ietf-ipp-install-021.txt>
3 [Target category: standards track]

Hugo Parra
Novell, Inc.
Ted Tronson
Novell, Inc.
Tom Hastings
Xerox Corp.

~~November 7, 2000~~ February 28, 2001

Internet Printing Protocol (IPP):
Printer Installation Extension

Copyright (C) The Internet Society (20010). All Rights Reserved.

14 Status of this Memo

15
16 This document is an Internet-Draft and is in full conformance with all provisions of Section 10 of [RFC2026].
17 Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its
18 working groups. Note that other groups may also distribute working documents as Internet-Drafts.

19 Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or
20 obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or
21 to cite them other than as “work in progress”.

22 The list of current Internet-Drafts can be accessed at <http://www.ietf.org/ietf/1id-abstracts.txt>

23 The list of Internet-Draft Shadow Directories can be accessed as <http://www.ietf.org/shadow.html>.

24 **Abstract**

25
26 Various client platforms require that some setting up take place at the workstation before the client can
27 properly submit jobs to a specific printer. This setup process is sometimes referred to as printer installation.
28 Most clients need some information about the printer being installed as well as support files to complete the
29 printer installation. The nature of the support files varies depending on the specific client platform, from simple
30 configuration files to highly sophisticated printer drivers. This document refers to these support files as “Client
31 Print Support Files”. Traditionally, the selection and installation of the correct Client Print Support Files has
32 been error prone. The selection and installation process can be simplified and even automated if the
33 workstation can learn some key information about the printer and which sets of Client Print Support Files are
34 available. Such key information includes: operating system type, CPU type, document-format (PDL), natural
35 language, etc compression mechanism, file type, client file name, policy for automatic loading, file size, file
36 version, file date and time, file information description, and digital signature. This document describes the IPP
37 extensions that enable workstations to obtain the information needed to perform a proper printer driver
38 installation using IPP, including security for downloading executable code and data.

39 The full set of IPP documents includes:

40 Design Goals for an Internet Printing Protocol [RFC2567]

41 Rationale for the Structure and Model and Protocol for the Internet Printing Protocol [RFC2568]

42 Internet Printing Protocol/1.1: Model and Semantics [RFC2911]

43 Internet Printing Protocol/1.1: Encoding and Transport [RFC2910]

44 Internet Printing Protocol/1.1: Implementer's Guide [ipp-iig]

45 Mapping between LPD and IPP Protocols [RFC2569]

46

47 The "Design Goals for an Internet Printing Protocol" document takes a broad look at distributed printing
48 functionality, and it enumerates real-life scenarios that help to clarify the features that need to be included in a
49 printing protocol for the Internet. It identifies requirements for three types of users: end users, operators, and
50 administrators. It calls out a subset of end user requirements that are satisfied in IPP/1.0. A few OPTIONAL
51 operator operations have been added to IPP/1.1.

52 The "Rationale for the Structure and Model and Protocol for the Internet Printing Protocol" document
53 describes IPP from a high level view, defines a roadmap for the various documents that form the suite of IPP
54 specification documents, and gives background and rationale for the IETF working group's major decisions.

55 The "Internet Printing Protocol/1.1: Encoding and Transport" document is a formal mapping of the abstract
56 operations and attributes defined in the model document onto HTTP/1.1 [RFC2616]. It defines the encoding
57 rules for a new Internet MIME media type called "application/ipp". This document also defines the rules for
58 transporting a message body over HTTP whose Content-Type is "application/ipp". This document defines a
59 new scheme named 'ipp' for identifying IPP printers and jobs.

60 The "Internet Printing Protocol/1.1: Implementer's Guide" document gives insight and advice to implementers
61 of IPP clients and IPP objects. It is intended to help them understand IPP/1.1 and some of the considerations
62 that may assist them in the design of their client and/or IPP object implementations. For example, a typical
63 order of processing requests is given, including error checking. Motivation for some of the specification
64 decisions is also included.

65 The "Mapping between LPD and IPP Protocols" document gives some advice to implementers of gateways
66 between IPP and LPD (Line Printer Daemon) implementations.

67

67

68 **Table of Contents**

69 1 Introduction..... 5

70 2 Terminology 5

71 3 Model Extensions 5

72 3.1 client-print-support-files-supported (1setOf octetString(MAX)) 6

73 3.1.1 Use of Keyword Values in fields..... 9

74 3.1.2 Use of the Special Keyword Value: ‘unknown’ 9

75 3.1.3 Examples of “client-print-support-files-supported” attribute values..... 9

76 3.2 Get-Printer-Attributes Operation Extension..... 10

77 3.2.1 Get-Printer-Attributes Request 10

78 3.2.1.1 client-print-support-files-filter (octetString(MAX)) operation attribute..... 10

79 3.2.1.1.1 Filter matching rules..... 11

80 3.2.2 Get-Printer-Attributes Response..... 13

81 3.3 Get-Client-Print-Support-Files 14

82 3.3.1 Get-Client-Print-Support-Files Request 14

83 3.3.2 Get-Client-Print-Support-Files Response..... 15

84 4 Conformance 16

85 5 Encoding of the Operation Layer 16

86 6 Encoding of Transport Layer 17

87 7 IANA Considerations..... 17

88 7.1 Attribute Registrations 17

89 7.2 Operation Registrations 18

90 8 Internationalization Considerations 18

91 9 Security Considerations 19

92 10 References 19

93 11 Author’s Addresses..... 20

94 12 Full Copyright Statement 21

95

96 **Tables**

97

98 Table 1 - “client-print-support-files-supported” attribute fields..... 7

99 Table 2 - "client-print-support-files-filter" attribute fields..... 11
100 Table 3 - REQUIRED "client-print-support-files-filter" fields..... 11
101

102

102 1 Introduction

103 A common configuration for printing from a workstation requires that some Client Print Support Files (e.g.,
104 PPD, printer driver files) specific to the target printer be installed on that workstation. Selection and
105 configuration of the appropriate Client Print Support Files can be simplified and even automated if the
106 workstation can obtain some key information about the printer and which sets of Client Print Support Files are
107 available. Such key information includes: operating system type, CPU type, document-format (PDL), natural
108 language, compression mechanism, file type, client file name, policy for automatic loading, file size, file version,
109 file date and time, file information description, and digital signatureetc. With a few extensions, IPP provides a
110 simple and reliable vehicle for printers to convey this information to interested workstations. The IPP
111 extensions described in this document enable a flexible solution for installing Client Print Support Files on
112 workstations running different operating systems and for printers of all makes and models. It allows Client
113 Print Support Files to be downloaded from repositories of different sorts. A possible repository for the files is
114 the printer itself. The extensions necessary for getting Client Print Support Files from the printer are included
115 in this document, including security for downloading executable code and data.

116 2 Terminology

117 Client Print Support Files - a set of files, such as a printer driver, font metric file, printer configuration file
118 (PPD, GPD, etc.) that support a client printing to a particular Printer. A Printer canMAY have multiple sets of
119 Client Print Support Files that work for different operating systems, document formats, natural languages,
120 CPUs, etc.

121 This document uses terms such as “attributes”, “keywords”, and “support”. These terms have special meaning
122 and are defined in the model terminology [RFC2911] section 12.2. This document also uses the terms “IPP
123 Printer”, “Printer” and “Printer object” interchangeably as in [RFC2911] to mean the software entity that
124 accepts IPP operation requests and returns IPP operation responses (see [RFC2911] section 2).

125 Capitalized terms, such as MUST, MUST NOT, REQUIRED, SHOULD, SHOULD NOT, MAY, NEED
126 NOT, and OPTIONAL, have special meaning relating to conformance. These terms are defined in
127 [RFC2911] section 12.1 on conformance terminology, most of which is taken from RFC 2119 [RFC2119].

128 This section defines the following additional terms that are used throughout this document:

129 REQUIRED: if an implementation supports the extensions described in this document, it MUST support
130 a REQUIRED feature.

131 OPTIONAL: if an implementation supports the extensions described in this document, it MAY support
132 an OPTIONAL feature.

133 3 Model Extensions

134 To assist workstations in the printer installation process, an IPP printer needs to provide the workstation with
135 information about the Client Print Support Files, such as the their name and location/s. This information needs

136 to match the workstation's specific environment, such as its operating system, preferred natural language, and
137 preferred document format.

138 The following extensions to the IPP model enable assisted or automated printer installation. This section
139 describes each extension in detail.

- 140 - A new REQUIRED Printer Description attribute: "client-print-support-files-supported" (1setOf
141 octetString(MAX)).
- 142 - A new REQUIRED Get-Printer-Attributes operation attribute: "client-print-support-files-filter"
143 (octetString(MAX)).
- 144 - A new RECOMMENDED printer operation: Get-Client-Print-Support-Files.

145 3.1 client-print-support-files-supported (1setOf octetString(MAX))

146 An IPP Printer uses the REQUIRED Printer Description attribute "client-print-support-files-supported" to
147 represent relevant information about all of the Client Print Support Files it supports. Each value is a composite
148 UTF-8 string with well-defined fields (see Table 1). Each value string MUST be formatted as follows:

149 `"uri=val1< field-name2=val21,...,val2p< ... < field-namen=valn1,...,valnq<"`

150 The first field MUST be the "uri" field. The remaining fields MAY be in any order.

151 The string MUST NOT include any control characters (hex 00 to 1F), even the so-called white space control
152 characters (TAB, CR, and LF) anywhere. Only zero or more UTF-8 SPACE characters (hex 20) can be
153 included and they can be included only IMMEDIATELY AFTER the [punctuation delimiter](#) character: "<", but
154 NOT anywhere else, including after "=" and ",". However, if the UTF-8 SPACE character is needed in a [file](#)
155 [name](#) `client-file-name` value, then each occurrence is included directly, without escaping (see example). On the
156 other hand, if the UTF-8 SPACE character is needed in a URL value, then each occurrence is escaped as:
157 `"\x20"="%20"` (URI conventions - see [RFC2396]).

158 Table 1 lists the REQUIRED fields that a Printer MUST support and the OPTIONAL fields that a Printer
159 MAY support in the "client-print-support-files-supported" (1setOf octetString(MAX)) Printer Description
160 attribute. A Printer implementation MAY support additional fields using the same syntax. Values are defined
161 to be either CASE-SENSITIVE or ALL-LOWER-CASE according to the definitions for the attribute
162 syntaxes from [RFC2911] (set off by single quotes in the table). The CASE-SENSITIVE values MAY have
163 upper and lower case letters as for the corresponding attribute syntaxes in [RFC2911]. The LOWER-CASE
164 values MUST have all lower case alphabetic letters. Additional characters, such as digits, hyphen-minus (-),
165 period (.), and slash (/) are according to the corresponding attribute syntaxes in [RFC2911].

166 Clients SHOULD ignore fields they don't recognize in a given value. This allows for future extensions to the
167 format of the string without breaking compatibility with earlier clients.

Table 1 - “client-print-support-files-supported” attribute fields

Field name	Field value
“uri”	<p>One REQUIRED CASE-SENSITIVE ‘uri’ string identifying the uri where to obtain the support files for each OS platform, document format, and natural language the printer supports. This MUST be the first field in each value. Examples of uri schemes that MAY be found here are ‘ftp’, ‘http’, and ‘ipp’. The ‘ftp’ and ‘http’ schemed URIs identify the archive file that contains all the necessary client support files.</p> <p>The ‘ipp’ schemed URIs identify the archive file which may bethat clients MAY obtained from the Printer using the Get-Client-Print-Support-Files operation (see section 3.3). <u>The URI MUST be a valid URI to the same Printer object, i.e., one of the values of the Printer’s “printer-uri-supported” attribute.</u> The ‘ipp’ URI is used to distinguish between multiple Client Print Support Files in an implementation dependent manner <u>using the URL query syntax (e.g., “?drv-id=xxx”) [RFC2396], such as using a file URL parameter (‘file=xxx’).</u> <u>The query part MUST NOT exceed 127 octets, not counting the “?” character that begins the query part.</u> A Printer SHOULD support the ‘ipp’ scheme.</p>
“os-type”	<p>One or more REQUIRED comma-separated LOWER-CASE ‘keyword’ strings identifying the operating system types supported by this set of Client Print Support Files. Valid values include are the operating system names defined in the IANA document [os-names] <u>and the special keyword value: ‘unknown’.</u> Although the IANA registry requires that the names be all upper-case, the values MUST be all lower case in this field (plus hyphen-minus (-), period (.), and slash (/)). Examples: ‘linux’, ‘linux-2.2’, ‘os/2’, ‘sun-os-4.0’, ‘unix’, ‘unix-bsd’, ‘win32’, ‘windows-95’, ‘windows-98’, ‘windows-ce’, ‘windows-nt’, ‘windows-nt-4’, ‘windows-nt-5’, ‘unknown’.</p>
“cpu-type”	<p>One or more REQUIRED comma-separated LOWER-CASE ‘keyword’ strings identifying the CPU types supported by this set of Client Print Support Files. <u>The values indicate the CPU family independent of the CPU manufacturer. Valid keyword values (or compatible) are: ‘unknown’, ‘x86-16’, ‘x86-32’, ‘x86-64’, ‘dec-vax’, ‘alpha’, ‘power-pc’, ‘m-68000’, ‘sparc’, ‘itanium’, ‘mips’, ‘arm’ and will be used as the initial value for the “cpu-type” IANA registry. In addition, the special keyword value: ‘unknown’ is valid.</u></p>
“document-format”	<p>One or more REQUIRED comma-separated CASE-SENSITIVE ‘document-format mimeType’ strings identifying the document formats supported by this set of Client Print Support Files. Valid values are the string representation of the IPP mimeType attribute syntax (see [RFC2911] section 4.1.9), for example ‘application/postscript’. <u>In addition, the special keyword value: ‘unknown’ is a valid value.</u></p>
“natural-language”	<p>One or more REQUIRED comma-separated LOWER-CASE ‘naturalLanguage’ strings identifying the natural language used by this set of Client Print Support Files. Valid values are the string representation of the IPP ‘naturalLanguage’ attribute syntax (see [RFC2911])</p>

Field name	Field value
	<u>section 4.1.8), for example 'en' and 'en-us'. In addition, the special keyword value: 'unknown' is a valid value.</u>
"compression"	One REQUIRED LOWER-CASE 'keyword' string identifying the mechanism used to compress this set of Client Print Support Files. All files needed for the installation of a printer driver MUST be compressed into a single file. Valid <u>keyword</u> values are <u>the keywords defined by [RFC2911] or registered with IANA for use in the IPP "compression" and "compression-supported" attributes. See [RFC2911] section 4.4.32), for example :-'deflate', 'gzip', 'compress'.</u> The 'none' value <u>is allowed but</u> limits the uncompressed Client Print Support File to a single file. <u>The values for the "compression" field that a Printer supports NEED NOT be the same values that the Printer is configured to support in Job Creation operations as indicated in the Printer's "compressions-supported" attribute.</u>
"file-type"	One or more REQUIRED comma-separated LOWER-CASE 'keyword' strings identifying the type of the Client Print Support Files. Valid <u>keyword</u> values are: 'printer-driver', 'ppd', 'updf', 'gpd'.
" <u>client-file-name</u> "	One REQUIRED CASE-SENSITIVE string identifying the name by which the Client Print Support Files will be installed on the workstation. For Client Print Support Files of type 'printer-driver', this is also the name that identifies this printer driver in an .inf file.
"policy"	One REQUIRED <u>OPTIONAL</u> LOWER-CASE 'keyword' string indicating the policy for automatic loading. <u>Valid keyword values are: '-unknown', 'manufacturer-recommended', 'administrator-recommended', 'manufacturer-experimental, and 'administrator-experimental'.</u> The experimental values are for beta test.
"file-size"	One OPTIONAL file size in octets represented as ASCII decimal digits.
"file-version"	One OPTIONAL LOWER-CASE version number. Recommended to be of the form "Major.minor[.revision]" <u>where</u> "Major" is the major version number, "minor" is the minor version number and "revision" is an optional revision number.
"file-date-time"	One OPTIONAL File CASE-SENSITIVE creation date and time according to ISO 8601 where all fields are fixed length with leading zeroes (see [RFC2518] Appendix 2). Examples: 2000-01-01T23:09:05Z and 2000-01-01T02:59:59-04.00
" <u>file-info</u> "	<u>One OPTIONAL CASE-SENSITIVE human readable 'text' string describing this set of Client Print Support Files. The natural language for this value MUST be the natural language indicated by the Printer's "natural-language-configured" attribute. To avoid exceeding the maximum limit imposed on IPP attributes and to increase interoperability with other systems, the length of this field value MUST not exceed 127 characters.</u>
" <u>digital-signature</u> "	<u>One REQUIRED LOWER-CASE 'keyword' string identifying the mechanism used to ensure the integrity and authenticity of this set of Client Print Support Files. Valid values are: 'smime', 'pgp', 'dss', and 'xmldsig' which are defined in [RFC2634], [RFC1991],</u>

Field name	Field value
	<u>[dss], and [xmldsig], respectively. In addition, the special keyword value: 'none' is valid.</u>

169 Each value MUST refer to one and only one set of Client Print Support Files, even if the files are
 170 downloadable from various repositories (i.e., even if they are associated with multiple URIs).

171 3.1.1 Use of Keyword Values in fields

172 A number of the fields in Table 1 use keyword strings as values. The syntax of these keywords is the same as
 173 in [RFC2911], including the use of private keywords. See [RFC2911] sections 4.1.3 and 6.1. Printer
 174 implementers are strongly RECOMMENDED to submit additional keyword values for registration with IANA
 175 according to the procedures for registering attributes. See section 7 and [RFC2911] section 6.1.

176 3.1.2 Use of the Special Keyword Value: 'unknown'

177 A number of REQUIRED 'keyword' value fields have a special keyword value: 'unknown' defined. This
 178 value is intended for use when the actual value is not known, such as by an administrator automatic software
 179 configuring the IPP Printer object. However, it is strongly RECOMMENDED that other more meaningful
 180 values be used, instead of the 'unknown' value whenever possible.

181 3.1.3 Examples of "client-print-support-files-supported" attribute values

182 The following illustrates what two valid values of the "client-print-support-files-supported" (1setOf
 183 octetString(MAX)) Printer Description attribute might look like:

```
184 uri=ipp://mycompany.com/myprinter?drv-id=ModelY.gz<
185 os-type=windows-95< cpu-type=x86-32<
186 document-format=application/postscript<
187 natural-language=en< compression=gzip<
188 install-file-type=printer-driver<
189 client-file-name=CompanyX-ModelY-driver.gz<
190 policy=manufacturer-recommended<
```

```
192 uri=ftp://mycompany.com/root/drivers/win95/CompanyX/ModelY.gz<
193 os-type=windows-95< cpu-type=x86-32<
194 document-format=application/postscript,application/vnd.hp-PCL<
195 natural-language=en,fr< compression=gzip<
196 install-file-type=printer-driver<
197 client-file-name=Company T Model Z driver.gz<
198 policy=manufacturer-recommended<
```

199 The above examples have been broken onto separate lines for readability in this document. However, there
200 MUST NOT be any line breaks in the actual values.

201 The “client-print-support-files-supported” Printer Description attribute MAY be preset at manufacturing time
202 ~~or set via the IPP Set-Printer-Attribute operation~~ or through administrative means outside the scope of ~~IPP~~this
203 document.

204 3.2 Get-Printer-Attributes Operation Extension

205 The “client-print-support-files-supported” Printer Description attribute defined in section 3.1 contains
206 information, such as operating system, natural language, and document format, about *all* of the sets of Client
207 Print Support Files. This section defines an extension to the Get-Printer-Attributes operation that allows a
208 workstation to filter out all but the Client Print Support Files of interest.

209 3.2.1 Get-Printer-Attributes Request

210 A Printer MAY contain information about multiple sets of Client Print Support Files to match the different
211 operating systems, natural languages and document formats it supports. A workstation ~~may~~MAY query this
212 information by including the ‘client-print-support-files-supported’ keyword as a value of the “requested-
213 attributes” operation attribute of the Get-Printer-Attributes operation.

214 3.2.1.1 client-print-support-files-filter (octetString(MAX)) operation attribute

215 The client can request a subset of the values of the “client-print-support-files-supported” Printer attribute by
216 supplying the “client-print-support-files-filter” (octetString(MAX)) operation attribute in the request as a filter.
217 The filter value indicates in which Client Print Support Files the client is interested. The client MAY supply this
218 attribute. The Printer MUST support this attribute.

219 The filter value of the “client-print-support-files-filter” attribute is a composite string with the same format as
220 that of “client-print-support-files-supported” (see Table 1 - “client-print-support-files-supported” attribute
221 fields in section 3.1) with the following exceptions:

222

Table 2 - “client-print-support-files-filter” attribute fields

Field Name	Field Value in the “client-print-support-files-filter” attribute
uri-scheme	One or more REQUIRED comma-separated LOWER-CASE ‘uriScheme’ string values identifying the uri scheme to be filtered on. <u>Valid values are the string representation of the IPP ‘uriScheme’ attribute syntax (see [RFC2911] section 4.1.6).</u> Example URI schemes are: ‘ftp’, ‘http’, and ‘ipp’. The Printer SHOULD support the ‘ipp’ scheme. If supplied by the client, this field NEED NOT be first. If this field is omitted by the client, the Printer returns all schemes.
xxx	<u>One or more comma-separated values for any All</u> of the fields <u>defined</u> in Table 1, with the single exception of the “uri” field which a client MUST NOT supply and a Printer MUST NOT support. <u>The Printer MUST support Any filter field can have having</u> more than one value separated by a COMMA (,), including the fields that Table 1 indicates MUST BE single valued.

223

224

225

226

Printer implementations MUST support the “client-print-support-files-filter” operation attribute in a Get-Printer-Attributes request with the member fields listed Table 3. Printers MAY support any additional filter fields listed in Table 2.

227

228

Client implementations MAY supply any filter fields listed in Table 2 in the “client-print-support-files-filter” operation attribute of a Get-Printer-Attributes request.

229

Table 3 - REQUIRED “client-print-support-files-filter” fields

<u>uri-scheme</u>
<u>os-type</u>
<u>cpu-type</u>
<u>document-format</u>
<u>natural-language</u>

230

231

3.2.1.1.1 Filter matching rules

232

233

234

The Printer returns only the values of the “client-print-support-files-supported” Printer Description attribute that match the filter in the “client-print-support-files-filter” operation attribute. The following filter matching rules are defined:

- 235 1. A match occurs if at least one value of each field supplied by the client in the filter matches a Client
 236 Print Support File value. Printers MUST ignore a filter field supplied by a client that the Printer does
 237 not support and return a match if all supported fields do match, no matter what value the client
 238 supplied for that unsupported field. Similarly, Printers MUST ignore a filter field supplied by a client
 239 that the Printer does support, but which the field has not been populated for a Client Print Support
 240 Files and return a match if all supported and populated fields do match, no matter what value the client
 241 supplied for that unpopulated field.
- 242 2. A match for a CASE-INSENSITIVE field occurs independent of the case of the letters supplied by
 243 the client and those stored by the Printer, while a match for a LOWER-CASE field is a strict
 244 character for character match.
- 245 3. A match for a 'keyword' Printer field that is populated with the 'unknown' special keyword value
 246 occurs for any value supplied by the client for that field.
- 247 4. If the "client-print-support-files-filter" operation attribute filter is not supplied by the client, the printer
 248 ~~should~~**SHOULD** behave as if the attribute had been provided with all fields left empty (i.e., return an
 249 unfiltered list).

250 The following are two examples of a "client-print-support-files-filter" filter value:

```
251 os-type=windows-95< cpu-type=x86-32<
252 document-format=application-postscript< natural-language=en,de<
253
254 uri-scheme=ipp< os-type=windows-95< cpu-type=x86-32<
255 document-format=application-postscript< natural-language=en,de<
256
```

257 See section 3.2.2 for example matching ~~in the responses~~.

258 ~~The IPP Printer is REQUIRED to support this operation attribute and the following member fields in a "client-~~
 259 ~~print-support-files-filter" operation attribute filter in the Get-Printer-Attributes request:~~

260 ~~1.uri-scheme~~

261 ~~2.os-type~~

262 ~~3.cpu-type~~

263 ~~4.document-format~~

264 ~~5.natural-language~~

265 ~~Printer implementations MAY support additional fields and additional values of defined fields. Printers MUST~~
 266 ~~ignore fields they do not support.~~

267 It is RECOMMENDED that workstations first use the Get-Printer-Attributes operation in combination with
 268 "client-print-support-files-filter" operation attribute filter to get a list of the potential Client Print Support Files
 269 that meet the workstation's requirements. The workstation can then choose from the returned list which Client
 270 Print Support Files to use and where to get them. If one of the URIs returned is an IPP uri, the workstation

271 can retrieve the Client Print Support Files from an IPP printer via the Get-Client-Print-Support-Files operation
272 (see section 3.3).

273 3.2.2 Get-Printer-Attributes Response

274 A Printer MUST return the “client-print-support-files-supported” (1setOf octetString(MAX)) attribute in the
275 Printer Object Attributes group (group 3) when requested by a client. Each returned attribute value
276 ~~must~~**MUST** satisfy the criteria specified by the client in the request.

277 For example, if the request contains the following “client-print-support-files-filter” filter:

```
278 os-type=windows-95< cpu-type=x86-32<  
279 document-format=application-postscript<  
280 natural-language=en,de<
```

281 A conforming response is the following two octet String values:

```
282 uri=ipp://mycompany.com/myprinter?drv-id=ModelY.gz<  
283 os-type=windows-95< cpu-type=x86-32<  
284 document-format=application/postscript<  
285 natural-language=en< compression=gzip<  
286 install-file-type=printer-driver<  
287 client-file-name=CompanyX-ModelY-driver.gz<  
288 policy=manufacturer-recommended<  
289 digital-signature=smime<  
290  
291 uri=ftp://mycompany.com/root/drivers/win95/CompanyX/ModelY.gz<  
292 os-type=windows-95< cpu-type=x86-32<  
293 document-format=application/postscript,application/vnd.hp-PCL<  
294 natural-language=en,fr< compression=gzip<  
295 install-file-type=printer-driver<  
296 client-file-name=CompanyX-ModelY-driver.gz<  
297 policy=manufacturer-recommended<  
298 digital-signature=smime<  
299
```

300 These examples have been broken onto separate lines for readability in this document. However, there
301 MUST NOT be any line breaks in the actual values.

302 As an-other example, if the above request had also contained the “uri-scheme” field in the following “client-
303 print-support-files-filter” filter:

```
304 uri-scheme=ipp< os-type=windows-95< cpu-type=x86-32<
```

305 document-format=application-postscript<
306 natural-language=en,de<

307 Then only the first value would have been returned as a single octetString value:

308 uri=ipp://mycompany.com/myprinter?drv-id=ModelY.gz<
309 os-type=windows-95< cpu-type=x86-32<
310 document-format=application/postscript<
311 natural-language=en< compression=gzip<
312 ~~install~~-file-type=printer-driver<
313 client-file-name=CompanyX-ModelY-driver.gz<
314 policy=manufacturer-recommended<
315 digital-signature=smime<

316 3.3 Get-Client-Print-Support-Files

317 This RECOMMENDED operation allows a client to download Client Print Support Files from an IPP Printer.

318 3.3.1 Get-Client-Print-Support-Files Request

319 The following sets of attributes are part of the Get-Client-Print-Support-Files request:

320 Group 1: Operation Attributes

321 Natural Language and Character Set:

322 The “attributes-charset” and “attributes-natural-language” attributes as described in [RFC2911],
323 section 3.1.4.1.

324 Target:

325 The “printer-uri” (uri) operation attribute which is the target for this operation as described in
326 [RFC2911], section 3.1.5. The client MUST use the URI value as the target of this operation that the
327 Printer returns in the “uri” field (see Table 1) in the Get-Printer-Attributes response. Furthermore, the
328 client MUST use the appropriate authorization and security regime for this URI as indicated by the
329 Printer’s “printer-uri-supported”, “uri-authentication-supported” and “uri-security-supported”
330 attributes (see [RFC2911] sections 4.4.1, 4.4.2, and 4.4.3). Only if the URI returned in the “uri” field
331 matches the URI that the client used for the Get-Printer-Attributes request MAY the client use the
332 same HTTP connection. The ‘ipp’ URL matching rules are defined in [ipp-url] and do not include the
333 query part.

334 Requesting User Name:

335 The “requesting-user-name” (name(MAX)) attribute SHOULD be supplied by the client as described
336 in [RFC2911], section 8.3.

337 “client-print-support-files-~~uri~~query” (~~uri~~text(127)):

338 The client MUST supply this attribute specifying the [query part \[RFC2396\] of the ipp uri](#) for the
339 desired Client Print Support Files [not including the “?” character that starts the query part](#), i.e., the
340 value of the “uri” field [following the “?” character](#) returned by the Get-Printer-Attributes in one of the
341 values of the “client-print-support-files-supported” (1setOf octetString(MAX)) Printer attribute ([see](#)
342 Table 1) [that had an ‘ipp’ scheme](#). ~~The URI scheme must be ipp~~
343 ~~Note: This uri is neither the Printer’s target “printer-uri” nor the URI in the HTTP header.~~

344 3.3.2 Get-Client-Print-Support-Files Response

345 The Printer object returns the following sets of attributes as part of the Get-Client-Print-Support-Files
346 Response:

347 Group 1: Operation Attributes

348 Status Message:

349 In addition to the REQUIRED status code returned in every response, the response OPTIONALLY
350 includes a “status-message” (text(255)) operation attribute as described in [RFC2911], sections 13
351 and 3.1.6.

352 Natural Language and Character Set:

353 The “attributes-charset” and “attributes-natural-language” attributes as described in [RFC2911],
354 section 3.1.4.2.

355 Group 2: Unsupported Attributes

356 See [RFC2911], section 3.1.7 for details on returning Unsupported Attributes.
357
358

359 Group 3: Printer Object Attributes

360 “client-print-support-files-supported” (octetString(MAX)).

361 This attribute identifies the properties of the returned Client Print Support Files. The Printer object
362 MUST return this attribute if the response includes Group 4 (i.e., if a set of Client Print Support Files
363 identified by the supplied “client-[print-support-files-queryuri](#)” [operation attribute](#) was found). The
364 Printer MUST return [all configured fields for the selected Client Print Support Files in](#) the format
365 shown in section 3.1.
366

367 Group 4: Client Print Support Files

368 The printer MUST supply the Client Print Support Files that match the client’s criteria following the “end-
369 of-attributes” tag. All necessary files ~~must~~**MUST** be compressed into a single [transferred](#) file.

370 4 Conformance

371 A Printer conforming to this specification:

- 372 1. MUST support the “client-print-support-files-supported” Printer Description attribute as defined in
373 section 3.1, including all of the REQUIRED fields defined in Table 1 and MAY support the
374 OPTIONAL fields defined in Table 1.
- 375 2. MUST support the “client-print-support-files-filter” operation attribute in the Get-Printer-Attributes
376 request as defined in section 3.2, including all of the fields ~~defined~~ listed in ~~Table 2~~ Table 3 and ignoring
377 any fields not recognized.
- 378 3. MUST support at least one of the following URI schemes that identify the support files: ‘ftp’, ‘http’, or
379 ‘ipp’, of which the ‘ipp’ scheme is the RECOMMENDED one.
- 380 4. SHOULD support the Get-Client-Print-Support-Files operation as described in section 3.3. If this
381 operation is supported, then one of the supported schemes MUST be ‘ipp’.
- 382 5. SHOULD support TLS as described in section 9.
- 383 6. SHOULD support the downloading of Client Print Support Files that have been digitally signed as
384 described in section 9.

385 A client conforming to this specification:

- 386 1. MUST ignore any fields returned by the Printer in the “client-print-support-files-supported” Printer
387 Description attribute that the client does not recognize or support.
- 388 2. SHOULD be able to retrieve Client Print Support Files by either ~~ftp~~ FTP Get or ~~http~~ HTTP Get
389 operations.
- 390 3. MUST be able to retrieve Client Print Support Files using the Get-Client-Print-Support-Files
391 operation, i.e., support the ‘ipp’ scheme.
- 392 4. MUST supply the proper URI value for the “printer-uri” operation attribute as specified in section
393 3.3.1 under Target:.
- 394 5. MUST validate that files that are supposed to be digitally signed are done with the indicated mechanism
395 as described in section 9.
- 396 6. SHOULD support TLS as described in section 9.

397 5 Encoding of the Operation Layer

398 This extension uses the operation layer encoding described in [RFC2910].

399 6 Encoding of Transport Layer

400 This specification uses the transport layer encoding described in [RFC2910] with the following extensions.

401 New Error codes:

402 0x0417 client-error-client-print-support-file-not-found

403 New Operation code

404 0x0021 Get-Client-Print-Support-Files

405 7 IANA Considerations

406 The IANA-registered operating system names that IANA has registered [os-names] are required by this spec
407 for use in the "os-type" field (see Table 1).

408 Table 1 of this document defines possible 'keyword' values for the "cpu-type" field. The "cpu-type" is not a
409 current IANA registry. The current However, the existing IANA machine registration [cpu-names] is
410 inadequate for two reasons: a) it is really a machine model number, not a CPU type. Also type, and b) it
411 doesn't express whether a CPU is 16-bit, 32-bit, or 64-bit which needs to be indicated in the CPU
412 name keyword value, which is not currently reflected in the IANA CPU registry. Therefore, the "os-type" field
413 will be a new type of registration with initial values assigned.

414 The rest of this section contains the exact information for IANA to add to the IPP Registries according to the
415 procedures defined in RFC 2911 [RFC2911] section 6.

416 *Note to RFC Editors: Replace RFC NNNN below with the RFC number for this document, so that*
417 *it accurately reflects the content of the information for the IANA Registry.*

418 7.1 Attribute Registrations

419 The attributes and fields defined in this document will be published by IANA according to the procedures in
420 RFC 2911 [RFC2911] section 6.2 with the following path:

421 <ftp.isi.edu/iana/assignments/ipp/attributes/>

422 The registry entry will contain the following information:

423 Printer Description Attributes:	Ref:	Section:
424 <u>client-print-support-files-supported (1setOf octetString(MAX))</u>		
425 _____	RFC NNNN	3.1

426

427 For purposes of IANA attribute registration, the following fields
 428 of the "client-print-support-files-supported" and the "client-
 429 print-support-files-filter" attributes are registered following
 430 the procedures for IPP attribute registration:

	Ref:	Section:
431 <u>uri (uri)</u>	RFC NNNN	3.1
432 <u>os-type (type2 keyword)</u>	RFC NNNN	3.1
433 <u>cpu-type (type2 keyword)</u>	RFC NNNN	3.1
434 <u>document-format (mimeMediaType)</u>	RFC NNNN	3.1
435 <u>natural-language (naturalLanguage)</u>	RFC NNNN	3.1
436 <u>compression (type2 keyword)</u>	RFC NNNN	3.1
437 <u>file-type (type2 keyword)</u>	RFC NNNN	3.1
438 <u>client-file-name (name(MAX))</u>	RFC NNNN	3.1
439 <u>policy (type2 keyword)</u>	RFC NNNN	3.1
440 <u>file-size (integer(0:MAX))</u>	RFC NNNN	3.1
441 <u>file-version (name(MAX))</u>	RFC NNNN	3.1
442 <u>file-date-time (text(25))</u>	RFC NNNN	3.1
443 <u>file-info (text(127))</u>	RFC NNNN	3.1
444 <u>digital-signature (type2 keyword)</u>	RFC NNNN	3.1

445 <u>uri-scheme (uriScheme)</u>	RFC NNNN	3.2
-----------------------------------	----------	-----

Operation Attributes:	Ref:	Section:
449 <u>client-print-support-files-filter (octetString(MAX))</u>	RFC NNNN	3.2

451

452 **7.2 Operation Registrations**

453 The operations defined in this document will be published by IANA according to the procedures in RFC 2911
 454 [RFC2911] section 6.4 with the following path:

455 <ftp.isi.edu/iana/assignments/ipp/operations/>

456 The registry entry will contain the following information:

Operations:	Ref.	Section:
457 <u>Get-Client-Print-Support-Files</u>	RFC NNNN	3.3

459

460 **8 Internationalization Considerations**

461 All text representations introduced by this specification adhere to the internationalization-friendly
 462 representation supported by IPP. This work is also accommodates the use of Client Print Support Files of
 463 different languages.

464 9 Security Considerations

465 The IPP Model and Semantics document [RFC2911] discusses high-level security requirements (Client
466 Authentication, Server Authentication and Operation Privacy). Client Authentication is the mechanism by
467 which the client proves its identity to the server in a secure manner. Server Authentication is the mechanism by
468 which the server proves its identity to the client in a secure manner. Operation Privacy is defined as a
469 mechanism for protecting operations from eavesdropping.

470 Only operators of a printer ~~should~~ **SHOULD** be allowed to set the “~~client-print-support-files~~~~printer-driver-~~
471 supported” attribute and only users of the printer ~~should~~ **SHOULD** be allowed to query that information.

472 The IPP extension described in this document introduces the potential for a security threat previously not
473 encountered by IPP. As Client Print Support Files might exist in the form of executable objects (as is the case
474 with printer drivers, for example), additional provisions are needed to prevent the distribution of malicious
475 code through this mechanism. Digital signatures provide the message level security commonly used to help
476 consumers of network resources verify the authenticity and integrity of those resources. Specifically, digital
477 signatures help defend against security threats such as message insertion, message deletion, and message
478 modification, and their combined use into man-in-the-middle attacks.

479 This document identifies some commonly used signing mechanisms (SMIME [RFC2634], PGP [RFC1991],
480 DSS [dss], and XML Digital Signatures [xmldsig]), though any others MAY be used. Of course, it is assumed
481 that once end-users know the identity of the provider of Client Print Support Files, they can make the correct
482 determination as to whether it is safe to use those files.

483 Printers that support the Get-Client-Print-Support-Files operation SHOULD support the downloading of
484 Client Print Support Files that have been digitally signed. Clients that invoke the Get-Client-Print-Support-
485 Files operation MUST make sure that Client Print Support Files that are supposed to be signed (i.e., whose
486 client-print-support-files-supported attribute value includes the "digital-signature" field) are indeed signed via
487 the specified mechanism when downloaded from the printer.

488 Furthermore, printers that support the Get-Client-Print-Support-Files operation **SHOULD** ~~are~~ **REQUIRED**
489 ~~to~~ implement TLS to provide application level channel security and enable users to reliably authenticate the
490 source of the Client Print Support Files.

491 10 References

492 [cpu-names]
493 IANA Registry of CPU Names at <ftp://ftp.isi.edu/in-notes/iana/assignments/XXX>.
494

495 [dss]
496 U.S. Department of Commerce, "Digital Signature Standard (DDS)", Federal Information Processing
497 Standards Publication 186-1 (FIPS PUB 186-1), December 15, 1998.

- 498 [\[ipp-url\]](#)
499 [Herriot, R., McDonald, I., "Internet Printing Protocol \(IPP\): IPP URL Scheme." <draft-ietf-ipp-url-](#)
500 [scheme-02.txt>, February 14, 2001.](#)
- 501 [os-names]
502 IANA Registry of Operating System Names at [ftp://ftp.isi.edu/in-notes/iana/assignments/operating-system-](ftp://ftp.isi.edu/in-notes/iana/assignments/operating-system-names)
503 [names.](#)
- 504 [\[RFC1991\]](#)
505 [D. Atkins, W. Stallings, P. Zimmermann, "PGP Message Exchange Formats", RFC 1991, August, 1996.](#)
- 506 [RFC2026]
507 S. Bradner, "The Internet Standards Process -- Revision 3", RFC 2026, October 1996.
- 508 [\[RFC2396\]](#)
509 [Berners-Lee, T., Fielding, R., Masinter, L., "Uniform Resource Identifiers \(URI\): Generic Syntax", RFC](#)
510 [2396, August 1998.](#)
- 511 [RFC2518]
512 Goland, Y., et al, "HTTP Extensions for Distributed Authoring -- WEBDAV", RFC 2518, February
513 1999.
- 514 [RFC2616]
515 R. Fielding, J. Gettys, J. Mogul, H. Frystyk, L. Masinter, P. Leach, T. Berners-Lee, "Hypertext Transfer
516 Protocol - HTTP/1.1", RFC 2616, June 1999.
- 517 [\[RFC2634\]](#)
518 [P. Hoffman, "Enhanced Security Services for S/MIME", RFC 2634, June 1999.](#)
- 519 [RFC2910]
520 Herriot, R., Butler, S., Moore, P., Tuner, R., "Internet Printing Protocol/1.1: Encoding and Transport",
521 [draft-ietf-ipp-protocol-v11-05.txt, March 1, 2000](#)[RFC 2910, September 2000.](#)
- 522 [RFC2911]
523 R. deBry, T. Hastings, R. Herriot, S. Isaacson, P. Powell, "Internet Printing Protocol/1.0: Model and
524 Semantics", [<draft-ietf-ipp-model-v11-06.txt>, March 1, 2000](#)[RFC 2911, September 2000.](#)
- 525 [\[xmldsig\]](#)
526 [D. Eastlake, J. Reagle, D. Solo "XML-Signature Syntax and Processing", <draft-ietf-xmldsig-core-](#)
527 [11.txt>, October 31, 2000.](#)

528 11 Author's Addresses

529 Hugo Parra

530 Novell, Inc.
531 1800 South Novell Place
532 Provo, UT 84606
533
534 Phone: 801-861-3307
535 Fax: 801-861-4025
536 e-mail: hparra@novell.com
537

538 Ted Tronson
539 Novell, Inc.
540 1800 South Novell Place
541 Provo, UT 84606
542
543 Phone: 801-861-3338
544 Fax: 801-861-4025
545 e-mail: ttronson@novell.com
546

547 Thomas N. Hastings
548 Xerox Corp.
549 737 Hawaii St. ESAE 231
550 El Segundo, CA 90245
551
552 Phone: 310-333-6413
553 Fax: 310-333-5514
554 e-mail: hastings@cp10.es.xerox.com
555

556 **12 Full Copyright Statement**

557 Copyright (C) The Internet Society (2001). All Rights Reserved.

558 This document and translations of it may be copied and furnished to others, and derivative works that
559 comment on or otherwise explain it or assist in its implementation may be prepared, copied, published and
560 distributed, in whole or in part, without restriction of any kind, provided that the above copyright notice and
561 this paragraph are included on all such copies and derivative works. However, this document itself may not
562 be modified in any way, such as by removing the copyright notice or references to the Internet Society or
563 other Internet organizations, except as needed for the purpose of developing Internet standards in which case
564 the procedures for copyrights defined in the Internet Standards process must be followed, or as required to
565 translate it into languages other than English.

566 The limited permissions granted above are perpetual and will not be revoked by the Internet Society or its
567 successors or assigns.

568 This document and the information contained herein is provided on an “AS IS” basis and THE INTERNET
569 SOCIETY AND THE INTERNET ENGINEERING TASK FORCE DISCLAIMS ALL WARRANTIES,
570 EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY THAT THE USE
571 OF THE INFORMATION HEREIN WILL NOT INFRINGE ANY RIGHTS OR ANY IMPLIED
572 WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

573 **Acknowledgement**

574

575 Funding for the RFC Editor function is currently provided by the Internet Society.