

IPP Everywhere Printer Self-Certification Manual 1.0 (SELFCERT)

Status: Approved

Abstract: This document defines IPP Everywhere Printer self-certification test procedures and the process required for PWG Members to register the test results on the PWG web site in order to use the "IPP Everywhere" logo.

This document is a PWG Candidate Standard. For a definition of a "PWG Candidate Standard", see:

http://ftp.pwg.org/pub/pwg/general/pwg-process30.pdf

This document is available electronically at:

http://ftp.pwg.org/pub/pwg/candidates/cs-ippeveselfcert10-20160219-5100.20.docx http://ftp.pwg.org/pub/pwg/candidates/cs-ippeveselfcert10-20160219-5100.20.pdf Copyright © 2013-2016 The Printer Working Group. All rights reserved.

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About the IEEE-ISTO PWG

The Printer Working Group (or PWG) is a Program of the IEEE Industry Standards and Technology Organization (ISTO) with member organizations including printer manufacturers, print server developers, operating system providers, network operating systems providers, network connectivity vendors, and print management application developers. The group is chartered to make printers and the applications and operating systems supporting them work together better. All references to the PWG in this document implicitly mean "The Printer Working Group, a Program of the IEEE ISTO." In order to meet this objective, the PWG will document the results of their work as open standards that define print related protocols, interfaces, procedures and conventions. Printer manufacturers and vendors of printer related software will benefit from the interoperability provided by voluntary conformance to these standards.

In general, a PWG standard is a specification that is stable, well understood, and is technically competent, has multiple, independent and interoperable implementations with substantial operational experience, and enjoys significant public support.

For additional information regarding the Printer Working Group visit:

http://www.pwg.org

Contact information:

The Printer Working Group c/o The IEEE Industry Standards and Technology Organization 445 Hoes Lane Piscataway, NJ 08854 USA

About the Internet Printing Protocol Workgroup

The Internet Printing Protocol (IPP) workgroup has developed a modern, full-featured network printing protocol, which is now the industry standard. IPP allows a print client to query a printer for its supported capabilities, features, and parameters to allow the selection of an appropriate printer for each print job. IPP also provides job information prior to, during, and at the end of job processing.

For additional information regarding IPP visit:

http://www.pwg.org/ipp/

Implementers of this specification are encouraged to join the IPP mailing list in order to participate in any discussions of the specification. Suggested additions, changes, or clarification to this specification, should be sent to the IPP mailing list for consideration.

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1. Introduction

The Internet Printing Protocol supports all kinds of printing from low-end consumer through multi-room production printers. The IPP Everywhere project developed a new baseline specification [PWG5100.14] that enables printing from arbitrary clients using vendor-neutral driver software. In order to allow PWG Members to market their conformance to the new specification and consumers to easily determine which printers are compatible with their clients, the Printer Working Group has developed this specification which defines a series of self-certification tests that must be performed successfully in order to use the IPP Everywhere logo for a given printer and/or its Product Family (section 2.3). While the software and tests may be used by all organizations and individuals regardless of membership status, use of the IPP Everywhere logo and registration of Product Families on the PWG web site is limited to members of the Printer Working Group [MEMBERS].

1.1 Overview of IPP Everywhere Printer Self-Certification

The following summarizes IPP Everywhere Printer self-certification:

- 1. Conformance to this manual is voluntary; PWG Members do not need to perform self-certification to claim conformance to the IPP Everywhere [PWG5100.14] specification, but do need to perform self-certification to use the logo.
- 2. Version 1.0 of this process only applies to Printer implementations.
- 3. Because WS-Discovery is only required for certification with Microsoft Windows, Version 1.0 of this process does not test the WS-Discovery capabilities of a PWG Member's IPP Everywhere Printer implementation.
- 4. This manual defines tests for the Bonjour (mDNS and DNS-SD), IPP, and document format capabilities of a PWG Member's IPP Everywhere Printer implementation. The results are stored in XML files that are uploaded to the PWG web site to obtain permission to use the logo with the implementation.
- 5. Only PWG Members may upload test results to the PWG web site and use the logo.
- 6. This manual defines only one conformance level for IPP Everywhere Printer selfcertification, and the tests automatically adapt to the capabilities that are reported by the implementation.
- 7. Printer self-certification is generally performed using the most recent version of this process, however PWG Members may use an older approved version of the process if the most recent version was published within the last 12 months. This allows for some flexibility when developing new products.
- 8. Printer self-certification for a Product Family should be performed using the most fully featured model of the Product Family.
- 9. A PWG Member is not required to re-certify an existing Product Family against updated versions of this process.
- 10. Implementors are encouraged to use this process in regression testing of updates to a Product Family.

- 11. Self-certification test results are confidential and are discarded by the web site software after an automatic review.
- 12. Once accepted, the Printers in the certified Product Family will be listed on the PWG web site along with some summary information such as the make, model, version of the process used, color capabilities, and manufacturer web site.

1.2 Updates to This Document

This document might be updated from time to time to address issues in the testing procedures, testing tools, referenced specifications, and the license agreement as necessary. The version numbers of this document would be updated to reflect these changes according to the following rules:

- 1. Whenever new requirements, new referenced specifications, and/or new license agreement text are introduced, the major version number will be incremented and the minor version number will be reset to 0. For example, major changes to version "1.0" would result in a new "2.0" document.
- 2. Whenever corrections are made to the testing procedures or tools are introduced, the minor version number will be incremented. For example, minor changes to version "1.0" would result in a new "1.1" document.

Major changes will go through the normal PWG Standard process (section 4 of [PROCESS30]), including a IPP Workgroup Last Call, PWG Last Call, and PWG Formal Vote. The IPP Workgroup Last Call and PWG Last Call will include time for testing of the tools used for self-certification.

Minor changes will go through the PWG Errata process (section 9.1 of [PROCESS30]), including a IPP Workgroup Last Call and PWG Call for Objection. The IPP Workgroup Last Call and PWG Call for Objection will include time for testing of the tools used for self-certification.

1.3 Reporting Problems and Getting Assistance

Problems discovered in this specification are reported using the PWG issue tracking page at:

https://www.pwg.org/issues

Problems in the self-certification tools are reported via the IPP Everywhere Printer Self-Certification project issues page:

https://github.com/istopwg/ippeveselfcert/issues

The "ippeveselfcert@pwg.org" mailing list is provided for asking questions about this specification and IPP Everywhere in general. You must subscribe to this list before you can post questions:

https://www.pwg.org/mailman/listinfo/ippeveselfcert

2. Terminology

2.1 Conformance Terminology

Capitalized terms, such as MUST, MUST NOT, RECOMMENDED, REQUIRED, SHOULD, SHOULD NOT, MAY, and OPTIONAL, have special meaning relating to conformance as defined in Key words for use in RFCs to Indicate Requirement Levels [RFC2119]. The term CONDITIONALLY REQUIRED is additionally defined for a conformance requirement that applies when a specified condition is true.

2.2 Protocol Role Terminology

Normative definitions and semantics of printing terms are imported from IETF IPP/1.1 [RFC2911]. This document also defines the following protocol roles in order to specify unambiguous conformance requirements:

Client: Initiator of outgoing IPP session requests and sender of outgoing IPP operation requests (Hypertext Transfer Protocol -- HTTP/1.1 [RFC7230] User Agent).

Printer: Listener for incoming IPP session requests and receiver of incoming IPP operation requests (Hypertext Transfer Protocol -- HTTP/1.1 [RFC7230] Server) that represents one or more Physical Devices or a Logical Device.

2.3 Other Terminology

Product Family: A group of products from a common product platform [PROD-FAMILY], e.g., printers using a common marking engine, OEM products sold by multiple vendors, and print server software supporting printers.

Production Ready Code: Software and/or firmware that is considered ready to be included in products shipped to customers.

PWG Member: An individual or organization that has signed the IEEE-ISTO Printer Working Group membership agreement and paid the corresponding membership fee. More information on the agreement and fees is available on the PWG web site [MEMBERS].

2.4 Acronyms and Organizations

IANA: Internet Assigned Numbers Authority, http://www.iana.org/

IETF: Internet Engineering Task Force, http://www.ietf.org/

ISO: International Organization for Standardization, http://www.iso.org/

PWG: Printer Working Group, http://www.pwg.org/

3. Requirements

3.1 Rationale for the IPP Everywhere Self-Certification Manual 1.0

Given the need for a vendor-neutral certification of Printers for use by mobile and desktop Clients, the IPP Everywhere Self-Certification Manual 1.0 should:

- 1. Define test procedures and tools for Bonjour discovery of IPP Everywhere Printers;
- 2. Define test procedures and tools to validate conformance of IPP Everywhere Printers to the IPP Everywhere specification [PWG5100.14];
- 3. Define test procedures, tool, and documents to validate the output of IPP Everywhere Printers;
- 4. Define rules and procedures for PWG Members to submit test results; and
- 5. Define rules for the use of an IPP Everywhere Self-Certification logo for marketing purposes.

The IPP Everywhere [PWG5100.14] specification defines a standard profile of IPP to support printing from mobile and desktop Clients without vendor-specific driver software.

3.2 Use Cases

3.2.1 Selection of Printer for Purchase

Jane has gone to her local electronics superstore in order to purchase a new printer that is compatible with her phone, tablet, and laptop computer. A PWG Member has self-certified their printers in order to display a marketing logo on product packaging, advertising, and sales materials. Jane looks for printers that have the logo because she trusts the manufacturer is selling a printer that is compatible with her devices and computer.

3.3 Out of Scope

The following are considered out of scope for this specification:

- 1. Definition of PWG or vendor marketing programs for IPP Everywhere.
- 2. Definition of WS-Discovery tests.
- 3. Conformance testing of optional discovery protocols.
- 4. Conformance testing of optional operations, attributes, and values.
- 5. Conformance testing of optional OpenXPS document format support.

3.4 Test Requirements and Recommendations

The test requirements for this specification are:

- Confirm minimum conformance and interoperability of Printer for Bonjour discovery [RFC3927] [RFC6762] [RFC6763]
- 2. Confirm minimum conformance and interoperability of Printer for the HyperText Transport Protocol Version 1.1 [RFC7230]
- 3. Confirm minimum conformance and interoperability of Printer for the Internet Printing Protocol Version 2.0 Second Edition [PWG5100.12]
- 4. Confirm minimum conformance and interoperability of Printer for PWG Raster Format [PWG5102.4] and JPEG [JFIF] document data
- 5. If supported, confirm minimum conformance and interoperability of Printer for PDF document data [ISO32000]

The test recommendations for this specification are:

1. Provide realistic document data for print testing

4. Test Setup and System Requirements

The test suites require an Intel-based Mac running OS X 10.10 or later or PC running Red Hat Enterprise Linux 7 or later, Ubuntu Server 14.04 LTS or later, or Windows 7 or later. The test tools require up to 24MB of disk space and the test files require up to 1637MB of disk space. The most recent version of the tools and sample PWG Raster files can be downloaded from the IPP Everywhere technology page:

https://www.pwg.org/ipp/everywhere.html

Source code for the tools is hosted on the IPP Everywhere Printer Self-Certification project page:

https://github.com/istopwg/ippeveselfcert

4.1 Printer Configuration

The Printer in the Product Family being certified MUST be running Production-Ready Code with the default customer configuration. The Printer MUST include all features of the Product Family being certified, e.g., all document formats, duplexing, etc. As with any IPP implementation, the Printer can be a physical device or a spooler.

4.2 Microsoft Windows Tools

The following files are used to run the tests on Microsoft Windows:

Windows 7 or higher tools: 24MB (8MB for the MSI file, 16MB for the installed software)

https://www.pwg.org/ipp/everywhere.html

Bonjour Print Services for Windows: Provides Bonjour support for Windows

http://support.apple.com/kb/DL999

4.3 OS X Tools

The following files are used to run the tests on OS X:

OS X 10.10 or higher tools: 20MB (7MB for the DMG file, 13MB for the installed software)

https://www.pwg.org/ipp/everywhere.html

4.4 Linux (Ubuntu) Tools

Due to TLS library compatibility issues, tools are tied to specific Linux distributions.

The following files are used to run the tests:

RedHat Enterprise Linux 7 - 64-bit tools: 19MB (6MB for the tar file, 13MB for the installed software)

https://www.pwg.org/ipp/everywhere.html

Ubuntu Server 14.04 LTS - 64-bit tools: 19MB (6MB for the tar file, 13MB for the installed software)

https://www.pwg.org/ipp/everywhere.html

4.5 Test Files

The following sample files are used for the document tests:

150dpi sample files: 58MB (20MB for the zip file, 38MB for the extracted files)

http://ftp.pwg.org/pub/pwg/ipp/examples/pwg-raster-samples-150dpi-20150616.zip

180dpi sample files: 74MB (26MB for the zip file, 48MB for the extracted files)

http://ftp.pwg.org/pub/pwg/ipp/examples/pwg-raster-samples-180dpi-20150616.zip

300dpi sample files: 158MB (53MB for the zip file, 105MB for the extracted files)

 $\frac{http://ftp.pwg.org/pub/pwg/ipp/examples/pwg-raster-samples-300dpi-20150616.zip}{20150616.zip}$

360dpi sample files: 209MB (70MB for the zip file, 139MB for the extracted files)

http://ftp.pwg.org/pub/pwg/ipp/examples/pwg-raster-samples-360dpi-20150616.zip

600dpi sample files: 488MB (163MB for the zip file, 325MB for the extracted files)

http://ftp.pwg.org/pub/pwg/ipp/examples/pwg-raster-samples-600dpi-20150616.zip

720dpi sample files: 650MB (212MB for the zip file, 438MB for the extracted files)

http://ftp.pwg.org/pub/pwg/ipp/examples/pwg-raster-samples-720dpi-20150616.zip

PWG Members may request sample files at different resolutions by sending an email to the "ippeveselfcert@pwg.org" mailing list (section 1.3).

5. Bonjour Test Procedure

5.1 Test Description and Checklist

The Bonjour tests verify that the Printer correctly advertises itself using the "_ipp._tcp,_print" sub-type so that the Client can contact the Printer at the given address, port, and resource path. The Printer MUST provide all required TXT record keys and those keys MUST match the values reported by the Printer via the IPP Get-Printer-Attributes operation.

Printers that report support for TLS MUST also support HTTP Upgrade to TLS, correctly advertise themselves using the "_ipps._tcp,_print" sub-type, and support using an "ipps" URI.

5.2 Running the Bonjour Tests

On Linux or OS X, run the following command to produce the Bonjour test results file:

```
./bonjour-tests.sh "Printer Name"
```

where 'Printer Name' is the DNS-SD service name for the Printer in double quotes.

On Windows, run the following command to produce the Bonjour test results file:

```
bonjour-tests.bat "Printer Name"
```

where 'Printer Name' is the DNS-SD service name for the Printer in double quotes.

Output is placed in a file named "Printer Name Bonjour Results.plist".

5.3 Interpreting the Bonjour Test Results

The output of the test is a list of PASS, FAIL, and SKIP results for the named Printer. The generated plist file contains the XML version of those results.

A successful result contains PASS or SKIP results for every test. Any FAIL result causes a failure for self-certification.

Table 1 - Bonjour Test Checklist

PASS/ FAIL/ SKIP Description

SKIP	Description
	B-1. IPP Browse test: Printers appear in a search for "_ipptcp,_print"
	services?
	B-2. IPP TXT keys test: The IPP TXT record contains all required keys.
	B-3. IPP Resolve test: Printer responds to an IPP Get-Printer-Attributes
	request using the resolved hostname, port, and resource path.
	B-4. IPP TXT values test: The TXT record values match the reported
	IPP attribute values.
	B-5. TLS tests: Performed only if TLS is supported.
	B-5.1 HTTP Upgrade test: Printer responds to an IPP Get-Printer-
	Attributes request after doing an HTTP Upgrade to TLS.
	B-5.2 IPPS Browse test: Printer appears in a search for
	"_ippstcp,_print" services.
	B-5.3 IPPS TXT keys test: The TXT record for IPPS contains all
	required keys.
	B-5.4 IPPS Resolve test: Printer responds to an IPPS Get-Printer-
	Attributes request using the resolved hostname, port, and resource
	path.
	B-5.5 IPPS TXT values test: The TXT record values for IPPS match the
	reported IPPS attribute values.

6. IPP Test Procedure

6.1 Test Description and Checklist

The IPP tests verify that the Printer correctly processes IPP requests and produces the expected IPP responses. Besides basic conformance to the IPP/1.1: Model and Semantics [RFC2911], IPP/2.0 Second Edition [PWG5100.12], and IPP Everywhere [PWG5100.14] specifications, the tests also verify that the printer reports the 'media-needed' value in the "printer-state-reasons" attribute when a Job needs media.

6.2 Running the IPP Tests

On Linux or OS X, run the following command to produce the IPP test results file:

```
./ipp-tests.sh "Printer Name"
```

where 'Printer Name' is the DNS-SD service name for the Printer in double guotes.

On Windows, run the following command to produce the IPP test results file:

```
ipp-tests.bat "Printer Name"
```

where 'Printer Name' is the DNS-SD service name for the Printer in double guotes.

Output is placed in a file named "Printer Name IPP Results.plist".

6.3 Interpreting the IPP Test Results

The output of the test is a list of PASS, FAIL, and SKIP results for the named Printer. The generated plist file contains the XML version of those results.

A successful result contains PASS or SKIP results for every test. Any FAIL result causes a failure for self-certification.

Table 2 - IPP Test Checklist

PASS/ FAIL/ SKIP Description

Description
I-1. RFC 2911 section 3.1.1: Bad request-id value 0
I-2. RFC 2911 section 3.1.4: No Operation Attributes
I-3. RFC 2911 section 3.1.4: attributes-charset
I-4. RFC 2911 section 3.1.4: attributes-natural-language
I-5. RFC 2911 section 3.1.4: attributes-natural-language + attributes-
charset
I-6. RFC 2911 section 3.1.4: attributes-charset + attributes-natural-
language
I-7. RFC 2911 section 3.1.8: Unsupported IPP version 0.0
I-8. RFC 2911 section 3.2: No printer-uri operation attribute
I-9. Get-Printer-Attributes Operation (default)
I-10. Get-Printer-Attributes Operation (requested-attributes)
I-11. Validate-Job Operation
I-12. Print-Job Operation (color.jpg)
I-13. Get-Jobs Operation (default)
I-14. Get-Jobs Operation (requested-attributes)
I-15. Get-Jobs Operation (which-jobs=not-completed)
I-16. Get-Job-Attributes Until Job Complete
I-17. Get-Jobs Operation (which-jobs=completed)
I-18. Get-Jobs Operation (which-jobs, requested-attributes)
I-19. Cancel-Job Operation (completed job)
I-20. Print-Job Operation (color.jpg)
I-21. Cancel-Job Operation (pending/processing job)
I-22. Get-Job-Attributes Operation
I-23. Create-Job Operation
I-24. Send-Document Operation (color.jpg)
I-25. Get-Job-Attributes Until Job Complete
I-26. Print-Job Operation (color.jpg)
I-27. Media Needed: Printer correctly reports 'media-needed' when a
job is queued.

7. Document Data Test Procedure

7.1 Test Description and Checklist

The Document Data tests verify that the Printer correctly produces hardcopy output from a set of sample documents. The tests are adaptive to the Printer's reported document format, resolution, and color mode capabilities.

7.2 Running the Document Data Tests

On Linux or OS X, run the following command to produce the Document Data test results file:

```
./document-tests.sh "Printer Name"
```

where 'Printer Name' is the DNS-SD service name for the Printer in double quotes.

On Windows, run the following command to produce the Document Data test results file:

```
document-tests.bat "Printer Name"
```

where 'Printer Name' is the DNS-SD service name for the Printer in double guotes.

Output is placed in a file named "Printer Name Document Results.plist".

7.3 Interpreting Results

The output of the test is a list of PASS, FAIL, and SKIP results for the named Printer. The generated plist file contains the XML version of those results.

A successful result contains PASS or SKIP results for every test. Any FAIL result causes a failure for self-certification. In addition, the hardcopy output MUST be inspected by the tester to verify that there are no obvious errors in the output such as incorrect rendering or gross color errors, e.g. all output is green when it should be red. Grayscale output on a B&W printer is not considered an error. Similarly, normal clipping at the Printer's marking engine limits is not considered an error. Figure 1 through Figure 3 show the expected printed content.

Note: PWG Members do not submit hardcopy output for self-certification, nor do they need to retain it.

Table 3 - Document Test Checklist

PASS/ FAIL/

SKIP	Description
	D-1. PWG Raster Format Tests (mandatory)
	D-1.1 Print color.jpg-4x6.pwg @ maximum resolution and supported
	types
	D-1.2 Print color.jpg-4x6.pwg @ maximum resolution and supported
	types, deflate, if supported
	D-1.3 Print color.jpg-4x6.pwg @ maximum resolution and supported
	types, gzip, if supported
	D-1.4 Print document-a4.pwg @ maximum resolution and supported
	types
	D-1.5 Print document-letter.pwg @ maximum resolution and supported
	types
	D-2. JPEG Tests (mandatory)
	D-2.1 Print color.jpg with defaults
	D-2.2 Print color.jpg with copies=2
	D-2.3 Print color.jpg with print-color-mode=monochrome
	D-2.4 Print color.jpg with media=na_letter_8.5x11in and ipp-attribute-
	fidelity=true
	D-2.5 Print color.jpg with media=iso_a4_210x297mm and ipp-attribute-
	fidelity=true
	D-3. PDF Tests (if PDF is supported)
	D-3.1 Print document-letter.pdf with defaults
	D-3.2 Print document-letter.pdf with copies=2
	D-3.3 Print document-letter.pdf with page-ranges=3-3 and print-color-
	mode=monochrome
	D-3.4 Print document-letter.pdf with sides=two-sided-long-edge, if
	supported
	D-3.5 Print document-letter.pdf with media=iso_a4_210x297mm and ipp-
	attribute-fidelity=true
	D-3.6 Print document-a4.pdf with media=na_letter_8.5x11in and ipp-
	attribute-fidelity=true



Figure 1 - Content of "color.jpg" Test Document

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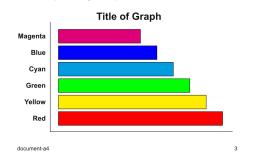
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Figure 2 - Content of "document-a4.pdf" Test Document

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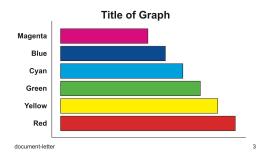
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document-letter

Figure 3 - Content of "document-letter.pdf" Test Document

8. Submission of Test Reports

Self-certification test reports are submitted through the PWG web site at:

https://www.pwg.org/ippeveselfcert

Figure 4 shows the submission form, which includes the following fields:

Organization Name; The name of the organization for the submission

Contact Name; The name of a person responsible for the submission

Contact Email; The email address of a person to contact regarding the submission

Product Family Name; The product family being submitted

Product Family URL; The web page for the product family being submitted

Models; A list of make and model names (one per line) that are included

Self-Certification Manual; The version of the IPP Everywhere Printer Self-Certification manual used

Submission Checklist; Check boxes for "Used approved PWG self-certification software", "Used Production-Ready Code", "Documents printed correctly"

Bonjour Test Results; The plist file containing the Bonjour test results

IPP Test Results; The plist file containing the IPP test results

Document Format Test Results; The plist file containing the document data test results

Once submitted, the test results will be validated by the web site software to check for obvious errors or issues and then the listed models will be added to the public directory of available IPP Everywhere Printers.

8.1 Exception Process

When a Printer fails one or more tests, the PWG Member MAY request an exception by submitting an issue on the IPP Everywhere Printer Self-Certification Tools project page at:

https://github.com/istopwg/ippeveselfcert/issues

The request will be reviewed by the IPP workgroup. Exceptions will only be granted for issues in the self-certification tools, tests that do not apply to the Product Family, or unavoidable race conditions such as a Job completing early.

	P Everywhere Self-Certification
ormation	
Organization Name	Apple Inc.
Contact Name	Michael Sweet
Contact Email	msweet@apple.com
Product Family Name	Name of product family being submitted
Product Family URL	http://www.example.com/products
Self-	List the make and model of every printer in the product family, one per line.
Certification Manual	and the second s
Submission Checklist	□ Used PWG self-certification tools. As supplied on the PWG FTP server. □ Used Production-Ready Code. Production-Ready Code: Software and/or firmware that is considered ready to be included in products shipped to customers. □ All output printed correctly. As documented in section 7.3 of the IPP Everywhere Printer Self-Certification Manual 1.0.
Bonjour Test Results	Choose File no file selected
IPP Test Results	Choose File no file selected
	Choose File no file selected
Document Data Test Results	

Figure 4 - IPP Everywhere Self-Certification Submission Form

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or normany references				
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Daniel Manchala - Xerox Ira McDonald - High North Glen Petrie - Epson William Wagner - TIC

11. Release History

This section will contain a list of high-level changes for each release of the document.

11.1 Version 1.0

Initial version of the self-certification manual.